

# Exponential Convergence to Equilibrium in Cellular Automata Asymptotically Emulating Identity

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We consider the problem of finding the density of ones in a configuration obtained by  $n$  iterations of a given cellular automaton (CA) rule, starting from a disordered initial condition. While this problem is intractable in full generality for a general CA rule, we argue that for some sufficiently simple classes of rules it is possible to express the density in terms of elementary functions. Elementary CA rules ( $k = 2$ ,  $r = 1$ ) asymptotically emulating identity are one example of such a class, and density formulas have been previously obtained for several of them. We show how to obtain formulas for density for two further rules in this class, 160 and 168, and postulate likely expressions for density for eight other rules. Our results are valid for arbitrary initial density. Finally, we conjecture that the density of ones for CA rules asymptotically emulating identity always approaches the equilibrium point exponentially fast.

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## 1. Introduction

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Cellular automata (CAs) are often viewed as computing devices. An initial configuration is taken as an input of the computation, and, after a number of iterations of the CA rule, the resulting final configuration constitutes the output of the computation.

In many practical problems, especially in mathematical modeling, we are not interested in all the details of the configuration, but rather in certain aggregate properties, such as, for example, the density of ones. A very common question can then be formulated as follows. Suppose we generated an initial configuration with a given density of

ones  $p \in [0, 1]$ , such that each site is independently set to 1 with probability  $p$  and to 0 with probability  $1 - p$ . We then iterate a given binary rule  $n$  times over this configuration. What is the density of ones (denoted by  $c_n$ ) in the resulting configuration? Using signal processing terminology, we want to know the “response curve,” the density of the output as a function of the density of the input.

Numerical studies of the density  $c_n$  assuming  $p = 0.5$  were first conducted by S. Wolfram. In [1], he presented a table showing  $c_\infty$  for all “minimal” CA rules, in many cases postulating exact rational values of  $c_\infty$ . In [2], H. Fukś obtained formulas for density  $c_n$  for many elementary CA rules, starting from initial density  $c_0 = 0.5$ . Some of these formulas were proved, but most were conjectures based on patterns appearing in sequences of preimage numbers.

In later years, building on the ideas outlined in [2], exact formulas for  $c_n$  have been rigorously derived for several CA rules, for example, rules 14, 172, 140, and 130 [3–6]. In the first two cases, the formulas for  $c_n$  were proved for  $p = 1/2$ , while in the last two cases they were proved for arbitrary  $p$ .

For a given CA rule, the difficulty of finding the density  $c_n$  very strongly depends on the rule. Generally, the more complex the dynamic of the rule is, the more difficult it is to obtain the exact formula for  $c_n$ . One exception to this is surjective CA rules (among elementary CAs these are rules 15, 30, 45, 51, 60, 90, 105, 106, 150, 154, 170, and 204). Some of them exhibit very complex spatiotemporal behavior, yet it is well-known that the symmetric Bernoulli measure ( $p = 1/2$ ) is invariant under the action of a surjective rule; thus, for all of them  $c_n = 1/2$  for  $p = 1/2$  (cf. [7] for a review of this result).

One class of rules for which  $c_n$  is easy to obtain is idempotent rules, that is, rules for which the global function  $F$  has the property  $F^2 = F$  (the rule applied twice yields the same result as applied once). The notion of idempotence can be generalized further by considering  $k^{\text{th}}$ -level *emulators of identity*, for which  $F^{k+1} = F^k$  for some  $k$ . These are called emulators of identity because after  $k$  iterations, further application of the rule is equivalent to application of the identity [8]. And finally, the notion of asymptotic emulation of identity can be introduced, such that  $F^{k+1}$  and  $F^k$  are not identical, but become closer and closer as  $k \rightarrow \infty$ , as defined in [2]. Rules asymptotically emulating identity will be the main subject of this paper. While the dynamic of these rules is not overly complicated, it is still far from being trivial. In some sense, they resemble finitely dimensional dynamical systems

in the neighborhood of a hyperbolic fixed point, where orbits starting from the stable manifold converge to the fixed point exponentially fast. In asymptotic emulators of identity, convergence to the equilibrium state is also exponentially fast, as we will subsequently see. For all the above reasons, CA rules asymptotically emulating identity are an ideal testbed for attempts to compute  $c_n$ . The goal of this paper is to show that the problem of finding  $c_n$  for these rules is indeed tractable, and that their formulas for density exhibit remarkable similarity to each other.

## 2. Preliminaries and Definitions

Let  $\mathcal{A} = \{0, 1\}$  be called an *alphabet*, or a *symbol set*, and let  $X = \mathcal{A}^{\mathbb{Z}}$ . A finite sequence of elements of  $\mathcal{A}$ ,  $\mathbf{b} = b_1 b_2 \dots, b_n$ , will be called a *block* (or *word*) of length  $n$ . The set of all blocks of elements of  $\mathcal{A}$  of all possible lengths will be denoted by  $\mathcal{A}^*$ .

For  $r \in \mathbb{N}$ , a mapping  $f: \mathcal{A}^{2r+1} \mapsto \mathcal{A}$  will be called a *cellular automaton rule of radius  $r$* . Corresponding to  $f$ , we also define a *global mapping*  $F: X \rightarrow X$  such that  $(F(x))_i = f(x_{i-r}, \dots, x_i, \dots, x_{i+r})$  for any  $x \in X$ .

A *block evolution operator* corresponding to  $f$  is a mapping  $\mathbf{f}: \mathcal{A}^* \mapsto \mathcal{A}^*$  defined as follows. Let  $r \in \mathbb{N}$  be the radius of  $f$ , and let  $\mathbf{a} = a_1 a_2 \dots a_n \in \mathcal{A}^n$  where  $n \geq 2r + 1$ . Then  $\mathbf{f}(\mathbf{a})$  is a block of length  $n - 2r$  defined as

$$\mathbf{f}(\mathbf{a}) = f(a_1, a_2, \dots, a_{1+2r}) \dots f(a_{n-2r}, a_{n-2r+1}, \dots, a_n). \quad (1)$$

For example, let  $f$  be a rule of radius 1, and let  $\mathbf{b} \in \mathcal{A}^5$ , so that  $\mathbf{b} = b_1 b_2 b_3 b_4 b_5$ . Then  $\mathbf{f}(b_1 b_2 b_3 b_4 b_5) = a_1 a_2 a_3$ , where  $a_1 = f(b_1, b_2, b_3)$ ,  $a_2 = f(b_2, b_3, b_4)$ , and  $a_3 = f(b_3, b_4, b_5)$ . If  $\mathbf{f}(\mathbf{b}) = \mathbf{a}$ , then we will say that  $\mathbf{b}$  is a *preimage* of  $\mathbf{a}$ , and write  $\mathbf{b} \in \mathbf{f}^{-1}(\mathbf{a})$ . Similarly, if  $\mathbf{f}^n(\mathbf{b}) = \mathbf{a}$ , then we will say that  $\mathbf{b}$  is an  *$n$ -step preimage* of  $\mathbf{a}$ , and write  $\mathbf{b} \in \mathbf{f}^{-n}(\mathbf{a})$ .

The appropriate mathematical description of an initial distribution of configurations is a probability measure  $\mu$  on  $X$  [7, 9–11]. Suppose that the initial distribution is a Bernoulli measure  $\mu_p$ , so all sites are independently set to 1 or 0, and the probability of finding 1 at a given site is  $p$ , while the probability of finding 0 is  $1 - p$ . It can then be

shown [4] that the probability  $P_n(\mathbf{b})$  of finding a block  $\mathbf{b}$  at a given site after  $n$  iterations of rule  $f$  is given by

$$P_n(\mathbf{b}) = \sum_{\mathbf{a} \in f^{-n}(\mathbf{b})} P_0(\mathbf{a}). \quad (2)$$

Note that equation (2) is site independent, and this is because the initial measure  $\mu_p$  is shift invariant. We will define  $c_n$ , the density of ones, to be the expected value of a site,

$$c_n = P_n(1) \cdot 1 + P_n(0) \cdot 0 = P_n(1). \quad (3)$$

This yields the expression for density

$$c_n = \sum_{\mathbf{a} \in f^{-n}(1)} P_0(\mathbf{a}). \quad (4)$$

Since the initial distribution is the Bernoulli distribution  $\mu_p$ ,  $P_0(\mathbf{a}) = p^{\#_1(\mathbf{a})} (1-p)^{\#_0(\mathbf{a})}$ , where  $\#_1(\mathbf{a})$  and  $\#_0(\mathbf{a})$  denote, respectively, the number of ones (zeros) in  $\mathbf{b}$ . We then obtain

$$c_n = \sum_{\mathbf{a} \in f^{-n}(1)} p^{\#_1(\mathbf{a})} (1-p)^{\#_0(\mathbf{a})}. \quad (5)$$

In order to conveniently write equation (5), we will introduce the notion of a *density polynomial* [12]. Let the *density polynomial* associated with a binary string  $\mathbf{b} = b_1 b_2 \dots b_n$  be defined as

$$\Psi_{\mathbf{b}}(p, q) = p^{\#_1(\mathbf{b})} q^{\#_0(\mathbf{b})}. \quad (6)$$

If  $A$  is a set of binary strings, we define the density polynomial associated with  $A$  as

$$\Psi_A(p, q) = \sum_{\mathbf{a} \in A} \Psi_{\mathbf{a}}(p, q). \quad (7)$$

Density  $c_n$  can thus be written as

$$c_n = \Psi_{f^{-n}(1)}(p, 1-p) = \Psi_{f^{-n}(1)}(c_0, 1-c_0). \quad (8)$$

In what follows, we will keep using variables  $p$  and  $q$  for density polynomials, understanding that in order to obtain  $c_n$ , we need to substitute  $q = 1-p$ , and that  $p$  is the initial density,  $p = c_0$ .

The problem of finding the density  $c_n$  is thus equivalent to the problem of finding the density polynomial for the set  $f^{-n}(1)$ . In order to do this, detailed knowledge of the structure of  $f^{-n}(1)$  is needed, which is usually very difficult to obtain. However, for reasonably simple rules it is often possible, as we will shortly see.

### 3. Asymptotic Emulators of Identity

We will now define the class of rules we wish to consider, namely rules asymptotically emulating identity. Let  $f$  be a CA rule of radius  $m$ ,  $g$  a rule of radius  $n$ , and  $k = \max\{m, n\}$ . Let the distance between rules  $f$  and  $g$  be defined as

$$d(f, g) = 2^{-2k-1} \sum_{\mathbf{b} \in \mathcal{A}^{2k+1}} |f(\mathbf{b}) - g(\mathbf{b})|, \tag{9}$$

where for  $\mathbf{b} = b_1 b_2 \dots b_{2k+1}$  and rule  $f$  of radius  $r$  we define  $f(\mathbf{b}) = f(b_{k+1-r}, \dots, b_{k+1+r})$ . This simply means that  $f(\mathbf{b})$  is the value of the local function on the neighborhood of the central symbol of  $\mathbf{b}$ ; for example, for  $\mathbf{b} = b_1 b_2 b_3 b_4 b_5 b_6 b_7$  and  $r = 1$ ,  $f(\mathbf{b}) = f(b_3, b_4, b_5)$ . It can be shown that the distance defined above is a metric in the space of CA rules [2].

The *composition*  $f \circ g$  of two CA rules  $f$  and  $g$  can be defined in terms of their corresponding global mappings  $F$  and  $G$ , as a local function of  $F \circ G$ , where  $(F \circ G)(x) = F(G(x))$  for  $x \in X$ . We note that if  $f$  is a rule of radius  $r$ , and  $g$  of radius  $s$ , then  $f \circ g$  is a rule of radius  $r + s$ . For example, the composition of two radius-1 mappings is a radius-2 mapping:

$$(f \circ g)(x_{-2}, x_{-1}, x_0, x_1, x_2) = f(g(x_{-2}, x_{-1}, x_0), g(x_{-1}, x_0, x_1), g(x_0, x_1, x_2)). \tag{10}$$

Multiple composition will be denoted by

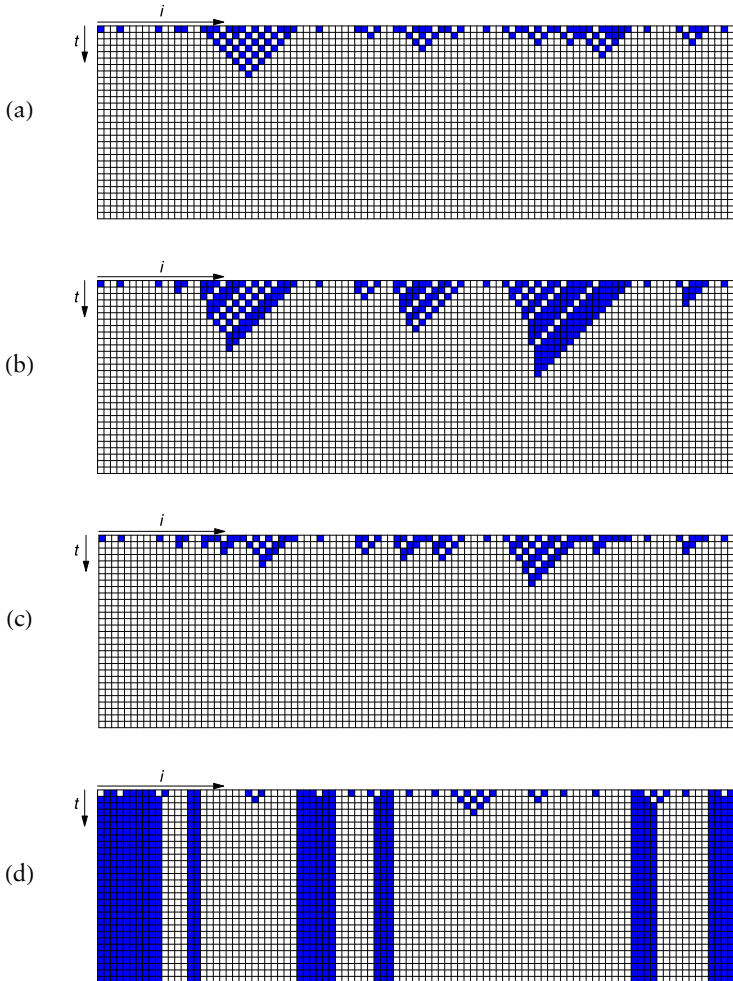
$$f^n = \underbrace{f \circ f \circ \dots \circ f}_{n \text{ times}}. \tag{11}$$

We say that a CA rule  $f$  *asymptotically emulates rule*  $g$  if

$$\lim_{n \rightarrow \infty} d(f^{n+1}, g \circ f^n) = 0. \tag{12}$$

We will be primarily interested in emulators of identity, for which we take as  $g$  the local function of an identity rule (e.g., rule 204). In [2], it has been found that rules 13, 32, 40, 44, 77, 78, 128, 132, 136, 140, 160, 164, 168, 172, and 232 asymptotically emulate identity. This list has been obtained by a mixture of computer experiments and rigorous methods; thus, it should be treated as conjectural, although the evidence in favor of the correctness and completeness of this list is quite strong. It should be stressed, however, that no general method for verifying asymptotic emulation is known.

Typical spatiotemporal patterns produced by these rules are shown in Figure 1. All these rules eventually reach an all-zero state or a fixed point that corresponds to vertical strips in the spatiotemporal patterns (as in the case of rule 232, Figure 1(d)).



**Figure 1.** Spatiotemporal pattern produced by rules (a) 160, (b) 168, (c) 40, and (d) 232, starting with a random initial condition.

For all these rules, formulas for densities for  $c_n$  for  $p = 1/2$  have been postulated in [2], and some of these formulas were subsequently proved, as illustrated in Table 1. The general formulas for density, for arbitrary  $c_0$ , have been previously reported for only four of them:

Rule	$c_n$	Proof
13	$\frac{7}{16} - (-2)^{-n-3}$	
32	$2^{-1-2n}$	[2]
40	$2^{-n-1}$	
44	$\frac{1}{6} + \frac{5}{6} 2^{-2n}$	
77	$\frac{1}{2}$	[2]
78	$\frac{9}{16}$	
128	$2^{-1-2n}$	[13]
132	$\frac{1}{6} + \frac{1}{3} 2^{-2n}$	[13]
136	$2^{-n-1}$	[13]
140	$\frac{1}{4} + 2^{-n-2}$	[5]
160	$2^{-n-1}$	this paper
164	$\frac{1}{12} - \frac{1}{3} 4^{-n} + \frac{3}{4} 2^{-n}$	
168	$3^n 2^{-2n-1}$	this paper
172	$\frac{1}{8} + \frac{1}{40 \cdot 2^{2n}} (10 - 4\sqrt{5})(1 - \sqrt{5})^n + (10 + 4\sqrt{5})(1 + \sqrt{5})^n$	[4]
232	$\frac{1}{2}$	

**Table 1.** Density of ones  $c_n$  for disordered initial state ( $c_0 = 0.5$ ) for elementary rules asymptotically emulating identity. For rules for which the proof is known, the source of the proof is given. All other formulas are conjectures based on preimage patterns from [2].

rules 128, 132, 136, and 140. For all four cases, proofs of the formulas are known. Below we show these formulas, citing the proof source as well.

- **Rule 128** (in [13],  $c_n$  has been obtained for rule 254, identical with conjugated and reflected rule 128)

$$c_n = c_0^{2n+1}. \tag{13}$$

- **Rule 132** (in [13],  $c_n$  has been obtained for rule 222, identical with conjugated and reflected rule 132)

$$c_n = (1 - c_0)^2 c_0 + \frac{(1 - c_0) c_0^3}{1 + c_0} + 2 \frac{c_0}{1 + c_0} c_0^{2n+1}. \tag{14}$$

- **Rule 136** (in [13],  $c_n$  has been obtained for rule 238, identical with conjugated rule 136)

$$c_n = c_0^{n+1}. \quad (15)$$

- **Rule 140** (in [5],  $c_n$  has been obtained for a more general case of the asynchronous version of rule 140; here we take the special case of the synchrony rate equal to 1)

$$c_n = c_0(1 - c_0) + c_0^{n+2}. \quad (16)$$

We will show that using the concept of density polynomials, formulas for  $c_n$  for arbitrary  $c_0$  can be constructed for many other rules asymptotically emulating identity. In two cases, namely for rules 160 and 168, we give formal proofs for density formulas. For many other cases, we will describe how to “guess” the correct formula for  $c_n$  by setting up a recursive equation for density polynomials.

#### 4. Rule 160

The first rule we wish to consider is rule 160. From now on, we will use subscripts with Wolfram numbers to identify concrete local functions and corresponding block evolution operators, for example,  $f_{160}$  and  $\mathbf{f}_{160}$  for rule 160.

Rule 160 is defined by  $f_{160}(1, 1, 1) = f_{160}(1, 0, 1) = 1$ , and  $f_{160}(x_1, x_2, x_3) = 0$  for all other values of  $x_1, x_2, x_3$ . This can be simply written as  $f(x_1, x_2, x_3) = x_1 x_3$ . Rule 160 is one of those few rules for which expressions for  $f^n$  can be explicitly given, as Proposition 1 attests.

**Proposition 1.** For elementary CA rule 160 and for any  $n \in \mathbb{N}$  we have

$$f_{160}^n(x_1, x_2, \dots, x_{2n+1}) = \prod_{i=0}^n x_{2i+1}. \quad (17)$$

*Proof.* We give proof by induction. For  $n = 1$ , equation (17) is obviously true, as mentioned. Suppose now that equation (17) holds for some  $n$ , and let us compute  $f^{n+1}$ . We have

$$\begin{aligned} f_{160}^{n+1}(x_1, x_2, \dots, x_{2n+3}) &= f_{160}(f_{160}^n(x_1, \dots, x_{2n+1}), \\ &\quad f_{160}^n(x_2, \dots, x_{2n+2}), f_{160}^n(x_3, \dots, x_{2n+3})) = \\ &= f_{160}\left(\prod_{i=0}^n x_{2i+1}, \prod_{i=0}^n x_{2i+2}, \prod_{i=0}^n x_{2i+3}\right) = \end{aligned}$$



$$\prod_{i=0}^n x_{2i+1} \prod_{i=0}^n x_{2i+3} = \prod_{i=0}^n x_{2i+1} \prod_{i=1}^{n+1} x_{2i+1} =$$

$$x_1 \left( \prod_{i=1}^n x_{2i+1} \prod_{i=1}^n x_{2i+1} \right) x_{2n+3} = \prod_{i=0}^{n+1} x_{2i+1},$$

where we used the fact that  $x_i^2 = x_i$  if  $x_i \in \{0, 1\}$ . Equation (17) is thus valid for  $n + 1$ , and this concludes the proof by induction.  $\square$

Proposition 2 is a direct consequence of equation (17).

**Proposition 2.** Block  $b_1 b_2 \dots b_{2n+1}$  is an  $n$ -step preimage of 1 under rule 160 if and only if  $b_i = 1$  for every odd  $i$ .

This means that we have  $n + 1$  ones and  $n$  arbitrary symbols in the preimage of 1; therefore,

$$\Psi_{f_{168}^{-n}(1)}(p, q) = p^{n+1} (p + q)^n. \tag{18}$$

The density of ones  $c_n = P_n(1)$  is thus

$$c_n = \Psi_{f_{168}^{-n}(1)}(c_0, 1 - c_0) = c_0^{n+1}, \tag{19}$$

and for  $c_0 = 1/2$ ,

$$c_n = 2^{-n-1}. \tag{20}$$

No matter what the initial density,  $c_n$  exponentially converges to 0 as  $n \rightarrow \infty$ .

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**5. Rule 168**

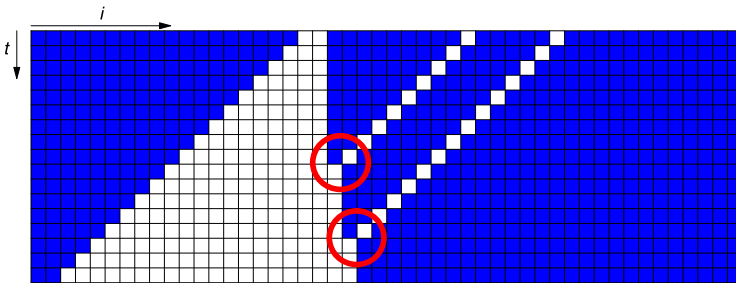
Rule 168 is defined by  $f_{168}(1, 1, 1) = f_{168}(1, 0, 1) = f_{168}(0, 1, 1) = 1$ , and  $f_{168}(x_1, x_2, x_3) = 0$  for all other values of  $x_1, x_2, x_3$ . Its dynamics and preimage structure are considerably more complex than those of rule 160. Nevertheless, upon careful examination of preimages of 1, it is possible to discover an interesting pattern in these preimages, described in Proposition 3.

**Proposition 3.** Let  $A_n$  be a set of all strings of length  $2n + 1$  ending with 1 such that, counting from the right, the first pair of zeros begins at the  $k^{\text{th}}$  position from the right, and the number of isolated zeros in the substring to the right of this pair of zeros is  $m$ , satisfying  $m < k - n - 1$ . Moreover, let  $B_n$  be the set of all strings of length

$2n + 1$  ending with 1 that do not contain 00. Block  $\mathbf{b} \in \mathcal{A}^{2n+1}$  is an  $n$ -step preimage of 1 under rule 168 if and only if  $\mathbf{b} \in A_n \cup B_n$ .

In lieu of a formal proof, we will present a discussion of the spatiotemporal dynamics of rule 168 and explain how it leads to Proposition 3. First of all, let us note that  $f_{168}^{-1}(1) = \{011, 101, 111\}$ . This means that if a block  $\mathbf{b}$  ends with 1, its preimage must also end with 1, and, by induction, its  $n$ -step preimage must end with 1 as well. This explains that ending with 1 is a necessary condition for being a preimage of 1, and elements of both  $A_n$  and  $B_n$  have that property.

Next, let us note that a block  $\mathbf{b}$  can be considered as consisting of blocks of zeros of various lengths separated by blocks of ones of various lengths. Suppose that a given block contains one isolated zero and to the left of it a pair of adjacent zeros, as depicted in Figure 2. When the rule is iterated, the block 00 will increase its length by moving its left boundary to the left, while its right boundary will remain in place. The isolated zero, on the other hand, simply moves to the left, as illustrated in Figure 2. When the boundary of the growing cluster of zeros collides with the isolated zero, the isolated zero is annihilated, and the boundary of the cluster of zeros jumps one unit to the right. Two such collisions are shown in Figure 2, marked by circles.



**Figure 2.** Collision of “defects” in CA rule 168.

Armed with this information, we can now attempt to describe conditions that a block must satisfy in order to be an  $n$ -step preimage of 1. If a block of length  $2n + 1$  is an  $n$ -step preimage of 1, then either it contains a block of two or more zeros or not. If it does not and ends with 1, then it necessarily is a preimage of 1. This is because when the rule is iterated, all isolated zeros move to the left, and after  $n$  iterations we obtain 1, as shown in Figure 3 (left). Blocks of this type constitute elements of  $B_n$ .

101101101101011101101	101111001111011101111	10111100111101110
1101101101011101101	1111000111011101111	1111000111011101
01101101011101101	11000011011101111	110000110111010
101101011101101	000001011101111	00000101110101
1101011101101	0000011101111	0000011101011
01011101101	00001101111	00001101011
011101101	000101111	000101011
1101101	0001111	0001011
01101	00111	00011
101	011	001
1	1	0

**Figure 3.** Examples of blocks of length 21 for which 10 iterations of  $f_{168}$  produce 1 (left and center) and 0 (right).

If, on the other hand, there is at least one cluster of adjacent zeros in a block of length  $2n + 1$ , then everything depends on the number of isolated zeros to the right of the rightmost cluster of zeros. Clearly, if there are not too many isolated zeros, and the rightmost cluster of zeros is not too far to the right, then the collisions of isolated zeros with the boundary of the cluster of zeros will not be able to move the boundary sufficiently far to change the final outcome, which will remain 1. This situation is illustrated in Figure 3 (center). Blocks of this type are elements of  $A_n$ .

Obviously, the balance of clusters of zeros and individual zeros is a delicate one, and if there are too many isolated zeros, they may change the final outcome to 0, as in Figure 3 (right).

The question is then, what is the condition for this balance? To find this out, suppose that we have a string  $\mathbf{b} \in \mathcal{A}^{2n+1}$ , and the first pair of zeros begins at the  $k^{\text{th}}$  position from the right. If there are no isolated zeros in the substring to the right of this pair, then we want the end of the rightmost cluster of zeros to be not further than just to the right of the center of  $\mathbf{b}$ . Since the center of  $\mathbf{b}$  is at position  $n + 1$  from the right, we want  $k > n + 1$ .

If there are  $m$  isolated zeros in the substring to the right of this pair of zeros, we must push the boundary of the rightmost cluster of zeros  $m$  units to the left, because these isolated zeros, after colliding with the rightmost cluster of zeros, will move the boundary to the right. The condition should, therefore, be in this case  $k > n + 1 + m$ , or, equivalently,  $m < k - n - 1$ , as required for elements of  $A_n$ .  $\square$

With Proposition 3, we can construct density polynomials associated with both  $A_n$  and  $B_n$ . Lemma 1 will be useful for this purpose. It

can be proved by well-known methods described in a typical book on enumerative combinatorics [14].

**Lemma 1.** The number of binary strings  $a_1 a_2 \dots a_l$  such that  $a_1 = a_l = 1$  and having only  $m$  isolated zeros is

$$\binom{l-m-1}{m}. \quad (21)$$

Now note that elements of the set  $A_n$  described in Proposition 3 have the structure

$$\frac{\star \dots \star 00 a_1 a_2 \dots a_{k-1}}{2^{n-k}}, \quad (22)$$

where the string  $a_1 a_2 \dots a_{k-1}$  has only isolated zeros, and  $a_1 = a_{k-1} = 1$ . Moreover,

$$k \in \{n+2, n+3, \dots, 2n\}.$$

Furthermore, the number of isolated zeros  $m$  must satisfy

$$m < k - n - 1,$$

meaning that

$$m \in \{0, 1, \dots, k - n - 2\}. \quad (23)$$

Using Lemma 1, the density polynomial of the set of strings of the type in equation (22) with fixed  $k$  and  $m$  is therefore

$$\begin{aligned} (p+q)^{2n-k} q^2 \binom{k-1-m-1}{m} q^m p^{k-m-1} = \\ (p+q)^{2n-k} q^2 \binom{k-m-2}{m} q^m p^{k-m-1}. \end{aligned} \quad (24)$$

This yields the density polynomial associated with the set  $A_n$ ,

$$\begin{aligned} \Psi_{A_n}(p, q) = \\ \sum_{k=n+2}^{2n} \sum_{m=0}^{k-n-2} (p+q)^{2n-k} \binom{k-m-2}{m} q^{m+2} p^{k-m-1}, \end{aligned} \quad (25)$$

which, by changing index  $j$  to  $k = n + j + 2$ , becomes

$$\begin{aligned} \Psi_{A_n}(p, q) = \\ \sum_{j=0}^{n-2} \sum_{m=0}^j (p+q)^{n-j-2} \binom{n+j-m}{m} q^{m+2} p^{n+j-m+1}. \end{aligned} \quad (26)$$

For the set  $B_n$ , the associated density polynomial is

$$\Psi_{B_n}(p, q) = \sum_{m=0}^n \binom{2n+1-m}{m} q^m p^{2n+1-m}. \tag{27}$$

The resulting density polynomial for  $n$ -step preimages of 1 is, therefore,

$$\begin{aligned} \Psi_{A_n \cup B_n}(p, q) &= \Psi_{f_{168}^{-n}(1)}(p, q) = \\ & \sum_{j=0}^{n-2} \sum_{m=0}^j (p+q)^{n-j-2} \binom{n+j-m}{m} q^{m+2} p^{n+j-m+1} + \\ & \sum_{m=0}^n \binom{2n+1-m}{m} q^m p^{2n+1-m}. \end{aligned} \tag{28}$$

This expression, while complicated, can be written in a closed form. It can namely be shown by induction (we omit the proof) that it sums to

$$\Psi_{f_{168}^{-n}(1)}(p, q) = p^{n+1} (p + 2q)^n. \tag{29}$$

If the initial density is  $p = c_0, q = 1 - c_0$ , we obtain

$$\begin{aligned} c_n &= \Psi_{f_{168}^{-n}(1)}(c_0, 1 - c_0) = \\ & c_0^{n+1} (c_0 + 2 - 2c_0)^n = c_0^{n+1} (2 - c_0)^n. \end{aligned} \tag{30}$$

For the symmetric case,  $c_0 = 1/2$ ,

$$c_n = \Psi_{f_{168}^{-n}(1)}\left(\frac{1}{2}, \frac{1}{2}\right) = \frac{3^n}{2^{2n+1}}. \tag{31}$$

As in the case of rule 160, the density exponentially converges to 0 as  $n \rightarrow \infty$ .

As an interesting additional remark, note that by substituting  $p = q = 1$  into  $\Psi_{f_{168}^{-n}(1)}(p, q)$  we obtain  $\text{card } f_{168}^{-n}(1)$ ; thus,

$$\text{card } f_{168}^{-n}(1) = \text{card } A_n + \text{card } B_n = \Psi_{f_{168}^{-n}(1)}(1, 1) = 3^n. \tag{32}$$

Density polynomials are thus useful not only for determining densities, but also to enumerate  $n$ -step preimages in CAs. The above result,  $\text{card } f_{168}^{-n}(1) = 3^n$ , has been observed in [2], but no proof was given.

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**6. Rule 40**

In the previous two examples (rules 160 and 168), we were able to gain detailed understanding of the structure of preimages of 1 and therefore also compute the density of ones in a rigorous way. In the

next example this will not be the case, but we will show that even then we can often conjecture what the expressions for  $c_n$  are. The conjecture will be based on patterns present in density polynomials. Such patterns can often be readily observed when a first few density polynomials are generated with the help of a computer program.

Let us now consider rule 40, for which  $f_{40}(0, 1, 1) = f_{160}(1, 0, 1) = 1$ , and  $f_{40}(x_1, x_2, x_3) = 0$  for all other values of  $x_1, x_2, x_3$ . The first 10 density polynomials for preimages of 1, generated by a computer program, are

$$\Psi_{f_{40}^{-1}(1)}(p, q) = 2p^2q,$$

$$\Psi_{f_{40}^{-2}(1)}(p, q) = p^4q + 3p^3q^2,$$

$$\Psi_{f_{40}^{-3}(1)}(p, q) = 3p^5q^2 + 5p^4q^3,$$

$$\Psi_{f_{40}^{-4}(1)}(p, q) = p^7q^2 + 7p^6q^3 + 8p^5q^4,$$

$$\Psi_{f_{40}^{-5}(1)}(p, q) = 4p^8q^3 + 15p^7q^4 + 13p^6q^5,$$

$$\Psi_{f_{40}^{-6}(1)}(p, q) = p^{10}q^3 + 12p^9q^4 + 30p^8q^5 + 21p^7q^6,$$

$$\Psi_{f_{40}^{-7}(1)}(p, q) = 5p^{11}q^4 + 31p^{10}q^5 + 58p^9q^6 + 34p^8q^7,$$

$$\Psi_{f_{40}^{-8}(1)}(p, q) = p^{13}q^4 + 18p^{12}q^5 + 73p^{11}q^6 + 109p^{10}q^7 + 55p^9q^8,$$

$$\Psi_{f_{40}^{-9}(1)}(p, q) = 6p^{14}q^5 + 54p^{13}q^6 + 162p^{12}q^7 + 201p^{11}q^8 + 89p^{10}q^9,$$

$$\Psi_{f_{40}^{-10}(1)}(p, q) = p^{16}q^5 + 25p^{15}q^6 + 145p^{14}q^7 + 344p^{13}q^8 + 365p^{12}q^9 + 144p^{11}q^{10},$$

$$\Psi_{f_{40}^{-11}(1)}(p, q) = 7p^{17}q^6 + 85p^{16}q^7 + 361p^{15}q^8 + 707p^{14}q^9 + 655p^{13}q^{10} + 233p^{12}q^{11}.$$

Upon closer inspection of these polynomials, we suspect that they can perhaps be recursively generated. Denoting for simplicity  $U_n(p, q) = \Psi_{f_{40}^{-n}(1)}(p, q)$ , suppose that they satisfy the second-order difference equation,

$$U_n(p, q) = \alpha(p, q)U_{n-2} + \beta(p, q)U_{n-1}, \quad (33)$$

where  $\alpha(p, q)$  and  $\beta(p, q)$  are some unknown functions. Polynomials satisfying such a relation are known as generalized Lucas polynomials.

Knowing our first four polynomials, we can write equation (33) for  $n = 3$  and  $n = 4$ ,

$$\begin{aligned} U_3(p, q) &= \alpha(p, q) U_1 + \beta(p, q) U_2, \\ U_4(p, q) &= \alpha(p, q) U_2 + \beta(p, q) U_3. \end{aligned} \tag{34}$$

This constitutes a system of two linear equations with two unknowns:  $\alpha(p, q)$  and  $\beta(p, q)$ . Solving this system, we obtain  $\alpha(p, q) = p^2 q(p + q)$  and  $\beta(p, q) = p q$ , meaning that the recurrence equation (33) takes the form

$$U_n(p, q) = p^2 q(p + q) U_{n-2} + p q U_{n-1}, \tag{35}$$

where  $U_0(p, q) = p$ ,  $U_1(p, q) = 2 p^2 q$ . We verified that equation (35) holds for up to  $n = 12$ , thus it can strongly be suspected that it is valid for any  $n$ .

Assuming, therefore, that the linear difference equation (35) is valid for any  $n$ , we can now solve it by standard methods. The solution is

$$\begin{aligned} U_n(p, q) &= - \frac{p q \left( -2 p - q + \sqrt{5 q^2 + 4 p q} \right)}{\sqrt{5 q^2 + 4 p q} \left( q + \sqrt{5 q^2 + 4 p q} \right)} \\ &\quad \left( - \frac{2 p^2 q + 2 p q^2}{q + \sqrt{5 q^2 + 4 p q}} \right)^n - \\ &\quad \frac{p q \left( 2 p + q + \sqrt{5 q^2 + 4 p q} \right)}{\sqrt{5 q^2 + 4 p q} \left( q - \sqrt{5 q^2 + 4 p q} \right)} \\ &\quad \left( - \frac{2 p^2 q + 2 p q^2}{q - \sqrt{5 q^2 + 4 p q}} \right)^n. \end{aligned} \tag{36}$$

The density  $c_n$  can now be computed by taking  $c_n = U_n(c_0, 1 - c_0)$ , after simplification and rationalization yielding

$$\begin{aligned}
 c_n = & \left( \frac{1}{2} c_0 - \frac{3}{2} \frac{c_0 \sqrt{5 - 6 c_0 + c_0^2}}{c_0 - 5} \right) \\
 & \left( \frac{1}{2} \left( 1 - c_0 + \sqrt{5 - 6 c_0 + c_0^2} \right) c_0 \right)^n + \\
 & \left( \frac{1}{2} c_0 + \frac{3}{2} \frac{c_0 \sqrt{5 - 6 c_0 + c_0^2}}{c_0 - 5} \right) \\
 & \left( \frac{1}{2} \left( 1 - c_0 - \sqrt{5 - 6 c_0 + c_0^2} \right) c_0 \right)^n.
 \end{aligned} \tag{37}$$

In the symmetric case  $c_0 = 1/2$ , we obtain, after simplification,

$$c_n = 2^{-n-1}. \tag{38}$$

For the symmetric case  $c_0 = 1/2$ , it is also possible to obtain the same expression for  $c_n$  by a different method. It can be shown (we omit the proof here) that the generalized Lucas polynomials  $U_n(p, q)$  defined by equation (35) can be written in the form

$$U_n(p, q) = \Psi_{f_{40}^{-n}}(1)(p, q) = \sum_{k=1}^{n+1} T_{n+1,k} p^{2n+2-k} q^{k-1}, \tag{39}$$

where the values of  $T_{n,k}$  form the triangle

$$\begin{array}{c}
 0, 2 \\
 0, 1, 3 \\
 0, 0, 3, 5 \\
 0, 0, 1, 7, 8 \\
 0, 0, 0, 4, 15, 13 \\
 0, 0, 0, 1, 12, 30, 21 \\
 0, 0, 0, 0, 5, 31, 58, 34 \\
 0, 0, 0, 0, 1, 18, 73, 109, 55 \\
 0, 0, 0, 0, 0, 6, 54, 162, 201, 89 \\
 0, 0, 0, 0, 0, 1, 25, 145, 344, 365, 144.
 \end{array}$$

This triangle is known as the skew triangle associated with the Fibonacci numbers [15]. The coefficients  $T_{n,k}$  can be generated by the recursive procedure [15],



$$\begin{aligned}
 T_{n,k} &= T_{n-1,k-1} + T_{n-2,k-1} + T_{n-2,k-2}, \\
 T_{n,k} &= 0 \text{ if } k < 0 \text{ or } k > n, \\
 T_{0,0} &= 1, \\
 T_{2,1} &= 0.
 \end{aligned}
 \tag{40}$$

Let us now compute  $c_n$  for the symmetric initial condition  $c_0 = 1/2$ ,

$$c_n = \Psi_{f_{40}^n}(1) \left( \frac{1}{2}, \frac{1}{2} \right) = 2^{-2n-1} \sum_{k=1}^{n+1} T_{n+1,k}.
 \tag{41}$$

Define now

$$S_n = \sum_{k=1}^n T_{n,k},
 \tag{42}$$

so that

$$c_n = 2^{-2n-1} S_{n+1}.
 \tag{43}$$

Using the recursion definition of  $T$ , we obtain

$$\sum_{k=1}^n T_{n,k} = \sum_{k=1}^n T_{n-1,k-1} + \sum_{k=1}^n T_{n-2,k-1} + \sum_{k=1}^{n+1} T_{n-2,k-2};
 \tag{44}$$

hence,

$$S_n = S_{n-1} + 2S_{n-2}.
 \tag{45}$$

From the definition of  $T(n, k)$ , we know that  $S_1 = 1$  and  $S_2 = 2$ , and therefore the solution of the second-order difference equation (45) is  $S_n = 2^n$ ; hence,

$$c_n = 2^{-2n-1} \cdot 2^n = 2^{-n-1},
 \tag{46}$$

the same as in equation (38), as expected.

**7. Rules 232, 13, 32, 77, 78, 172, and 44**

Elementary CA rule 232 is a special case of the “majority voting rule” with radius 1, defined as

$$f_{232}(x_1, x_2, x_3) = \text{majority} \{x_1, x_2, x_3\},
 \tag{47}$$

or, more explicitly,  $f_{232}(1, 1, 1) = f_{232}(1, 1, 0) = f_{232}(1, 0, 1) = f_{232}(0, 1, 1) = 1$ , and for all other values of  $x_1, x_2, x_3$ ,  $f_{232}(x_1, x_2, x_3) = 0$ .

We proceed in a similar fashion as in the case of rule 40. The first few density polynomials are

$$\begin{aligned}\Psi_{f_{40}^{-1}(1)}(p, q) &= 3pq^2 + p^3, \\ \Psi_{f_{40}^{-2}(1)}(p, q) &= p^5 + 5p^4q + 8p^3q^2 + 2p^2q^3, \\ \Psi_{f_{40}^{-3}(1)}(p, q) &= p^7 + 7p^6q + 19p^5q^2 + 24p^4q^3 + 11p^3q^4 + \\ &\quad 2p^2q^5, \\ \Psi_{f_{40}^{-4}(1)}(p, q) &= p^9 + 9p^8q + 34p^7q^2 + 69p^6q^3 + 79p^5q^4 + \\ &\quad 47p^4q^5 + 15p^3q^6 + 2p^2q^7, \\ \Psi_{f_{40}^{-5}(1)}(p, q) &= p^{11} + 11p^{10}q + 53p^9q^2 + 146p^8q^3 + \\ &\quad 251p^7q^4 + 275p^6q^5 + 187p^5q^6 + 79p^4q^7 + \\ &\quad 19p^3q^8 + 2p^2q^9, \\ &\quad \dots,\end{aligned}$$

and again, upon closer inspection it turns out that they are generalized Lucas polynomials. Denoting  $U_n(p, q) = \Psi_{f_{132}^{-n}(1)}(p, q)$ , these polynomials satisfy

$$\begin{aligned}U_n(p, q) &= \\ &= -pq(p+q)^2 U_{n-2}(p, q) + (p^2 + 3pq + q^2) U_{n-1}(p, q).\end{aligned}\quad (48)$$

The solution of equation (48) is

$$\begin{aligned}U_n(p, q) &= \\ &= \frac{p^2(p+2q)(p^2+2pq+q^2)^n}{p^2+pq+q^2} - \frac{(p-q)(pq)^{n+1}}{p^2+pq+q^2}.\end{aligned}\quad (49)$$

The density  $c_n$  can now be computed by taking  $c_n = U_n(c_0, 1 - c_0)$ , yielding

$$c_n = \frac{c_0^2(2-c_0)}{c_0^2-c_0+1} + \frac{(2c_0-1)c_0(c_0-1)(c_0(1-c_0))^n}{c_0^2-c_0+1}.\quad (50)$$

We can see that  $c_n$  exponentially converges to  $c_\infty$ , where

$$c_\infty = \frac{c_0^2(2-c_0)}{c_0^2-c_0+1}.\quad (51)$$

For  $c_0 = 1/2$ , the second term in equation (50) vanishes and  $c_\infty = 1/2$ ; thus, we obtain  $c_n = 1/2$ , in agreement with Table 1.

There are six other rules for which we were able to obtain expressions for  $c_n$  in the same way, except that the order of the difference equation for density polynomials was not always 2, like in equation (48), but it was sometimes lower or (most of the time) higher. For these rules, which are 13, 32, 77, 78, 172, and 44, we give the recurrence formula for the density polynomial, followed by the expression for  $c_n$  obtained by solving that recurrence equation.

■ **Rule 13:**

$$U_n(p, q) = q p (q + p)^4 U_{n-3}(p, q) + (q^2 + p q + p^2)(q + p)^2 U_{n-2}(p, q), \tag{52}$$

$$c_n = \frac{(1 - c_0)^3 (-1 + c_0)^n}{c_0 - 2} + \frac{c_0^2(c_0^2 - 2 c_0 + 2)(-c_0)^n}{c_0 + 1} + \frac{(c_0^3 - 2 c_0^2 + c_0)^2 - 1}{(c_0 - 2)(c_0 + 1)}. \tag{53}$$

■ **Rule 32:**

$$U_n(p, q) = p q U_{n-1}(p, q), \tag{54}$$

$$c_n = c_0^{n+1} (1 - c_0)^n. \tag{55}$$

■ **Rule 77:**

$$U_n(p, q) = (p + q)^2 q^2 p^2 U_{n-3}(p, q) + (p^4 + 2 p q p^3 + q^2 p^2 + 2 q^3 p + q^4) U_{n-2}(p, q) + 2 p q U_{n-1}(p, q), \tag{56}$$

$$c_n = \frac{c_0^3 (-c_0^2)^n}{c_0^2 + 1} - \frac{(1 - c_0)^3 (-(1 - c_0)^2)^n}{c_0^2 - 2 c_0 + 2} - \frac{c_0^5 - 3 c_0^4 + 3 c_0^3 - 2 c_0^2 + c_0 - 1}{(c_0^2 + 1)(c_0^2 - 2 c_0 + 2)}. \tag{57}$$

■ **Rule 78:**

$$U_n(p, q) = (p + q)^6 q^2 p^2 U_{n-5}(p, q) - (p + q)^4 q^2 p^2 U_{n-4}(p, q) - (p^2 + q^2)(p + q)^4 U_{n-3}(p, q) + (p^2 + q^2)(p + q)^2 U_{n-2}(p, q) + (p + q)^2 U_{n-1}(p, q), \tag{58}$$

$$\begin{aligned}
 c_n &= \frac{1 + c_0 - c_0^2 + c_0^4 - 2c_0^5 + c_0^6}{(c_0 + 1)(2 - c_0)} + \\
 &\frac{1}{2} \frac{(2c_0^2 + 1 - 2c_0)c_0(1 - c_0)(c_0 - 1)^n}{2 - c_0} - \\
 &\frac{1}{2} (2c_0 - 1)c_0^2 c_0^n - \frac{1}{2} \frac{(1 - c_0)c_0^2 (-c_0)^n}{c_0 + 1} + \\
 &\frac{1}{2} (1 - c_0)(2c_0 - 1)(1 - c_0)^n.
 \end{aligned} \tag{59}$$

The above is valid for  $n > 1$ .

■ **Rule 172:**

$$\begin{aligned}
 U_n(p, q) &= -p q (q + p)^4 U_{n-3}(p, q) - \\
 &(q + p)^2 p^2 U_{n-2}(p, q) + (q + p)(q + 2p) U_{n-1}(p, q),
 \end{aligned} \tag{60}$$

$$\begin{aligned}
 c_n &= (c_0 - 1)^2 c_0 - \frac{1}{12c_0 - 16} \\
 &\left(3c_0 - 4 + \sqrt{4c_0 - 3c_0^2}\right) \left(c_0 - 2 + \sqrt{4c_0 - 3c_0^2}\right) \\
 &c_0 \left(\frac{1}{2}c_0 - \frac{1}{2}\sqrt{4c_0 - 3c_0^2}\right)^n + \frac{1}{12c_0 - 16} \\
 &\left(3c_0 - 4 - \sqrt{4c_0 - 3c_0^2}\right) \left(-c_0 + 2 + \sqrt{4c_0 - 3c_0^2}\right) \\
 &c_0 \left(\frac{1}{2}c_0 + \frac{1}{2}\sqrt{4c_0 - 3c_0^2}\right)^n.
 \end{aligned} \tag{61}$$

■ **Rule 44:**

$$\begin{aligned}
 U_n(p, q) &= -(p + q)^2 q^2 p^4 U_{n-4}(p, q) + \\
 &q^2 p^4 U_{n-3}(p, q) + (p + q)^2 U_{n-1}(p, q),
 \end{aligned} \tag{62}$$

$$\begin{aligned}
 c_n &= \frac{(c_0^2 - c_0 + 1)c_0(c_0 - 1)}{c_0^3 - c_0^2 - 1} - \\
 &\frac{1}{3} \frac{c_0}{1 + c_0^2(1 - c_0)} (\alpha \lambda_1^n + (\beta + i\gamma) \lambda_2^n + (\beta - i\gamma) \lambda_3^n),
 \end{aligned} \tag{63}$$

where

$$\lambda_1 = c_0^{4/3} (1 - c_0)^{2/3}, \quad \lambda_{2,3} = \mp \frac{1}{2} c_0^{4/3} (1 - c_0)^{2/3} \left( \pm 1 + i \sqrt{3} \right),$$

and

$$\alpha = -(1 + c_0) (1 + c_0 - c_0^2) - \frac{\sqrt[3]{1 - c_0}}{c_0^{2/3}} \Delta,$$

$$\beta = -(1 + c_0) (1 + c_0 - c_0^2) + \frac{1}{2} \frac{\sqrt[3]{1 - c_0}}{c_0^{2/3}} \Delta,$$

$$\gamma = -\frac{\sqrt{3}}{2} \frac{\sqrt[3]{1 - c_0} (\Delta - 2 \sqrt[3]{1 - c_0} (2 - c_0) (1 + c_0^2))}{c_0^{2/3}},$$

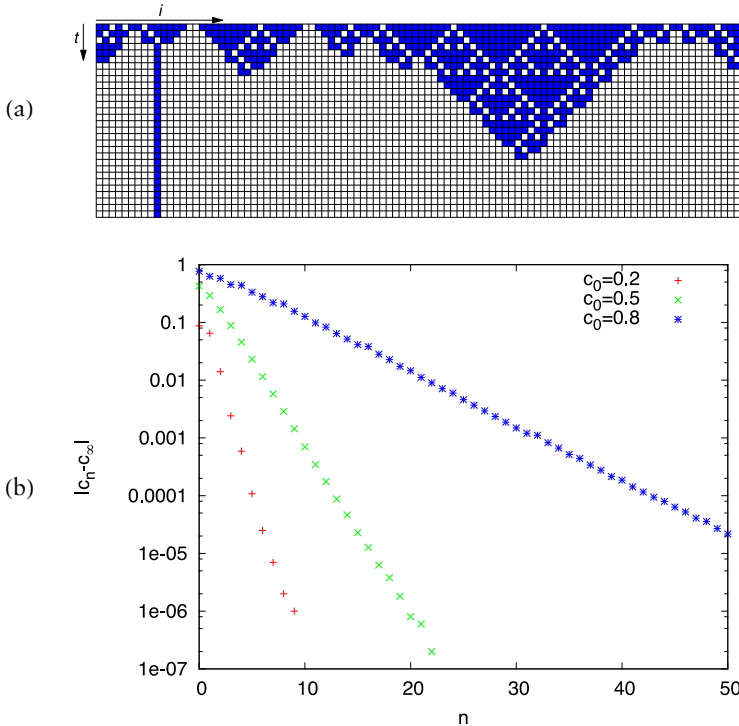
$$\Delta = \sqrt[3]{c_0} (2 - c_0^3) - \sqrt[3]{1 - c_0} (c_0 - 2) (1 + c_0^2).$$

### 8. The Remaining Rule

Among 15 CA rules asymptotically emulating identity, we either proved or conjectured general expressions for  $c_n$  for 14 of them. In all cases, exponential convergence to  $c_\infty$  can be observed. What remains is only rule 164, for which we were not able to find a closed-form expression for density polynomials. We have attempted to find recurrence equations up to 6<sup>th</sup> order for this rule, to no avail. We suspect that the reason for this is the dynamics of rule 164, far more complicated than for other rules considered in this paper. In Figure 4(a), it can clearly be seen that the spatiotemporal pattern generated by this rule exhibits the characteristic triangles of varying size. Similar triangles are frequently observed in complex “chaotic” rules.

In order to shed some light on the source of difficulty in finding density polynomials for rule 164, in Figure 5(a) we show the *preimage tree* rooted at 1 for this rule. For the sake of comparison, we also show the preimage tree for rule 168, for which density polynomials have been obtained in this paper. Preimage trees are constructed as follows. We start from 1 as a root of the tree and determine all its preimages. Then each of these preimages is connected with 1 by an edge. They constitute level 1 of the preimage tree. Then, for each block of level 1, we again compute its preimages and we link them with that block, thus obtaining level 2. Repeating this operation *ad infinitum*, we obtain a tree such as the one shown in Figure 5, where only the

first three levels are included. Closer inspection of this figure reveals that the tree for rule 164 has a more complex topology than the tree of rule 168, and that preimages belonging to individual levels do not seem to exhibit any obvious pattern. This is in direct contradiction to the case of rule 168, where we were able to find such a pattern, described in Proposition 3.



**Figure 4.** (a) Spatiotemporal pattern for rule 164, starting from a random initial condition with density 0.85. (b) Density  $c_n$  as a function of  $n$  for rule 164. A lattice with  $10^5$  sites and periodic configurations was used. Each point corresponds to the average of 100 experiments.

Nevertheless, we have studied the behavior of  $c_n$  numerically for rule 164. Figure 4(b) shows semi-logarithmic plots of  $|c_n - c_\infty|$  as a function of  $n$ , obtained by averaging 100 runs of simulations using a lattice with  $10^5$  sites. The value of  $c_\infty$  in each case has been taken as the steady-state value, that is, the final value of  $c_n$  that was no longer changing. From these plots it is clear that the graphs of  $|c_n - c_\infty|$  versus  $n$  closely follow straight lines in all cases, strongly suggesting that the approach to the fixed point is also exponential, just like for the other 14 rules.

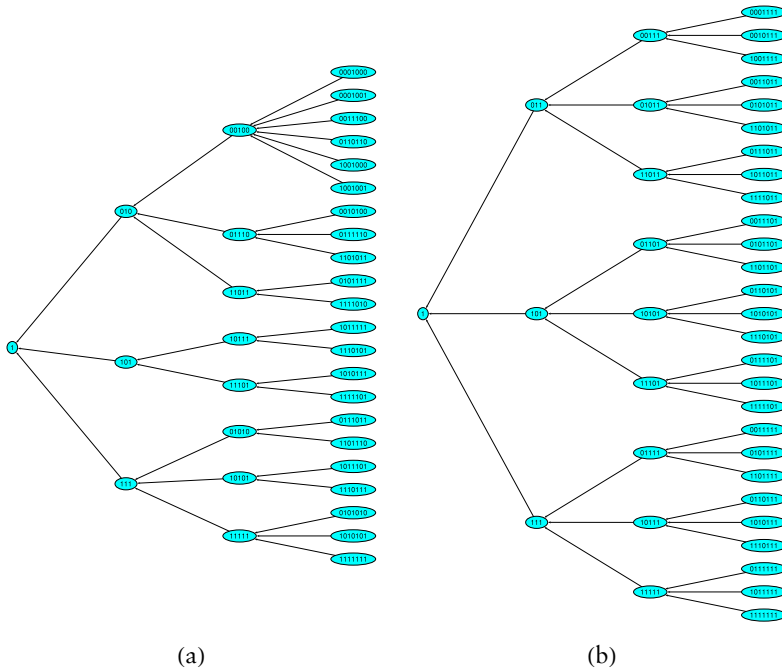


Figure 5. Preimage trees for (a) rule 164 and (b) rule 168.

## 9. Conclusion

We have demonstrated that density polynomials are useful for computing the density of ones after  $n$  iterations of a cellular automaton (CA) rule starting from a Bernoulli distribution. In many CA rules, patterns in density polynomials can be detected and then formally proved, such as in the case of rules 160 and 168. In other cases, we can recognize in density polynomials known polynomial classes, such as generalized Lucas polynomials, and then conjecture closed-form expressions for  $c_n$ . Our results are summarized in Table 2. While at the moment we do not have formal proofs of the conjecture formulas, it is hoped that such proofs can eventually be constructed using methods similar to those presented here (for rules 160 and 168) or in [4]. Finally, inspection of Tables 1 and 2 and the results we obtained for rules considered in this paper suggests an interesting possible conjecture.

**Conjecture 1.** For any CA rule asymptotically emulating identity, the density of ones after  $n$  iterations, starting from a Bernoulli distribution, is always in the form

$$c_n \sim \sum_{i=1}^k a_i \lambda_i^n, \quad (64)$$

where  $a_i, \lambda_i$  are constants that may only depend on the initial density  $c_0$ , and where  $|\lambda_i| \leq 1$ .

Note that some of the  $\lambda_i$  can be complex, and then they come in conjugate pairs, like in rule 44 (equation (63)). When one of the  $\lambda_i$  is equal to 1, then  $c_\infty > 0$ ; otherwise  $c_\infty = 0$ .

Rule	$c_n$	Proof/conjecture
13	equation (53)	conjecture
32	equation (55)	conjecture
40	equation (37)	conjecture
44	equation (63)	conjecture
77	equation (57)	conjecture
78	equation (59)	conjecture
128	equation (13)	proof [13]
132	equation (14)	proof [13]
136	equation (15)	proof [13]
140	equation (16)	proof [5]
160	equation (19)	proof
164	unknown	
168	equation (30)	proof
172	equation (61)	conjecture
232	equation (50)	conjecture

**Table 2.** Density of ones  $c_n$  for arbitrary initial density for elementary rules asymptotically emulating identity.

Such behavior of  $c_n$  strongly resembles hyperbolicity in finitely dimensional dynamical systems. Hyperbolic fixed points are a common type of fixed points in dynamical systems. If the initial value is near the fixed point and lies on the stable manifold, the orbit of the dynamical system converges to the fixed point exponentially fast. It can be argued that the exponential convergence to equilibrium observed in CAs described in this paper is somewhat related to finitely dimensional hyperbolicity. We suspect that the finite-dimensional map, known as the local structure map [16], which approximates the dy-



namics of a given CA, should possess a stable hyperbolic fixed point for every CA asymptotically emulating identity. This hypothesis is currently under investigation and will be discussed elsewhere.

As a final remark, let us note that in this paper we discussed binary rules only. It would be equally interesting to consider rules with a larger number of states  $k$ , for example,  $k = 3$ , and check if the above conjecture applies to them as well. The authors are planning to examine this issue in the near future.

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