

A Mathematica Implementation of Nonlinear Dynamical Systems Theory via the Spider Algorithm and Finding Critical Zeros of High- Degree Polynomials

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Important properties pertaining to families of discrete dynamical systems are furnished here by studying the kneading theory developed by Milnor and Thurston, and subsequently implementing the spider algorithm, developed by Hubbard and Schleicher. The focus is on identifying crucial combinatorial and numerical properties of periodic critical orbits in one-dimensional discrete dynamical systems, which are generated by iterating real quadratic polynomial maps that constitute an important class of unimodal systems.

■ Introduction

The mathematical concepts and notations, which facilitate the introduction of the kneading theory developed by Milnor and Thurston [1] and the spider algorithm developed by Hubbard and Schleicher [2], are summarized first.

A mapping f of an interval $I \subset \mathbb{R}$ given by $f: I \rightarrow I$ gives rise to a dynamical system on I by iteration. An orbit of a point $x_0 \in I$ is a sequence of points $\{x_i\}_{i \geq 0} \subset I$ given by $x_{i+1} = f(x_i)$. We use the standard notation $x_n = f^n(x_0)$, where f^n indicates the n -times composition of f with itself. An orbit is called periodic with a primitive period n if $x_{i+n} = x_i$ for some $n \geq 1$, but $x_{i+k} \neq x_i$ for $k = 1, 2, \dots, n-1$. A *critical* periodic orbit is a periodic orbit that contains the critical point of f . A critical periodic orbit is also called a *superstable* periodic orbit. A point is called critical if the derivative of the map is zero at the point.

Symbolic dynamics are techniques used in the study of dynamical systems. The simplest form converts orbits $\{x_i\}_{i \geq 0}$ into sequences of symbols from an alphabet $\mathbb{A} = \{\alpha_1, \alpha_2, \dots, \alpha_k\}$. In our unimodal case, the alphabet consists of three symbols, $\mathbb{A} = \{L, R, C\}$. The iteration process (the dynamical system) translates into a simple operator on the symbol space consisting of infinite words from \mathbb{A} . In this article we look at some elementary symbolic dynamics for simple one-dimensional systems $f: I \rightarrow I$, where f is a smooth function of the interval $I \subset \mathbb{R}$ such

that f has only one critical point c in I . We may write $I = I_L \cup \{c\} \cup I_R$ such that f is decreasing on I_L and increasing on I_R . Let $I_L = [l, c)$ and $I_R = (c, r]$. The *address* of a point $x \in I$, denoted by $a(x)$, is given by $a(x) = L$ if $x \in I_L$, $a(x) = C$ if $x = c$, and $a(x) = R$ if $x \in I_R$. Since $x \in I \Rightarrow f(x) \in I$ by assumption, the orbit of a point $x_0 \in I$ is contained in I , and we may assign an infinite sequence of symbols $A(x_0) = \{s_i\}_{i \geq 0}$ to the orbit according to the rule $s_i = a(f^i(x_0))$. The sequence $A(x_0)$ is also called the *itinerary* of the point x_0 . There is a natural mapping on the space of symbol sequences compatible with the dynamics on I , the *shift map* σ , defined by $\sigma(\{s_i\}_{i \geq 0}) = \{s_{i+1}\}_{i \geq 0}$. Let $A(x_0) = \{s_i\}_{i \geq 0}$; then clearly $\sigma(A(x_0)) = A(f(x_0))$. Hence the action $x \mapsto f(x)$ corresponds to shifting the sequence of symbols one place to the left and forgetting the first symbol in the sequence $A(x)$. The critical point $c \in I$ plays a special role in the dynamics of f . The symbol sequence $A(c)$, which gives the dynamics of the critical orbit, is called the *kneading sequence* of f and is denoted by $K(f) = A(c)$. The kneading sequence of f for a superstable periodic orbit is periodic. A periodic kneading sequence is written with an overbar, as in the sequence $K(f) = \overline{LRLLLLC}$ of period 7. There is a periodic orbit for this sequence, while there is no orbit for the dynamical system corresponding to the sequence $\overline{LRLLLRC}$. One of the main problems in this field is to decide if a given periodic symbol sequence corresponds to an orbit in the dynamical system $f: I \rightarrow I$. A symbol sequence with a corresponding orbit in the dynamical system is called *admissible*. We apply the theory developed in [1] and [3] to obtain an algorithm that decides if a given symbol sequence is admissible. This algorithm is based on the fact that the kneading sequence is minimal with respect to the lexicographic order denoted by \leq on the symbol space $\Sigma = \mathbb{A}^{\mathbb{N}}$. In particular, if σ is the shift map on the symbol space, then $K \leq \sigma^i(K)$, $i = 1, \dots, n-1$, for any admissible kneading sequence of length n .

The spider algorithm [2, 4] was designed to study certain properties of the Mandelbrot set for families of dynamical systems $g_\alpha: \mathbb{C} \rightarrow \mathbb{C}$. We apply the spider algorithm to real unimodal systems generated by quadratic polynomials using admissible kneading sequences. The construction of a periodic kneading sequence of length n corresponds to finding a certain real solution of a polynomial equation of degree 2^{n-1} . This leads to a numerical method for studying the parameter space of unimodal systems generated by quadratic polynomials. The Sharkovsky theorem [5, 6] is illustrated in [7] as a special case, “period 3 \Rightarrow chaos”.

It is easy to see that it is sufficient to study a single representative $p_\theta(x) = x^2 + \theta$ among the nondegenerate quadratic polynomials: let $f_{\alpha, \beta, \gamma}(x) = \alpha x^2 + \beta x + \gamma$, where $\alpha \neq 0$. Then for any such map there is a homeomorphism (in fact, of the simple form $b(x) = ax + b$) such that $p_\theta = b \circ f_{\alpha, \beta, \gamma} \circ b^{-1}$, where the quantities a , b , and θ depend on α , β , and γ . Hence $p_{\theta(\alpha, \beta, \gamma)}$ and $f_{\alpha, \beta, \gamma}$ are topologically conjugate and have the same dynamics. In the following, we use p_θ as the representative for the quadratic polynomials.

Some Simple Properties of the Family $x \mapsto x^2 + \theta$

A fixed point x_p is called hyperbolic if $|f'(x_p)| \neq 1$. We may replace f by any iterate of f and hence an n -periodic orbit $\{x_{q_i}\}$ is called hyperbolic if $|(f^n)'(x_{q_i})| \neq 1$. A hyperbolic invariant set Λ is called hyperbolic (in the one-dimensional case) if the derivative of f is greater (smaller) than 1 in absolute value for all points in the invariant set. In higher dimensions, with $f: M \rightarrow M$, where M is a compact smooth manifold, hyperbolicity means that the tangent bundle of the invariant set has a Df -invariant splitting into a contracting subbundle and an expanding subbundle. It might of course happen that one of these is empty (the invariant set is an expeller or attractor). For a more precise definition of hyperbolicity, see [8], and for an application showing how hyperbolicity ensures stability of the topological type of the system under small perturbations, see [9].

We now state some simple properties of the dynamical system $p_\theta: x \mapsto x^2 + \theta$. All of the properties listed are easily proved using elementary calculus, so we omit the calculations. The statements on symbolic dynamics and hyperbolicity can easily be proved using the techniques in [8] or a modification of the arguments in the next section. In the following, let $x_l(\theta) = \left(1 - \sqrt{1 - 4\theta}\right) / 2$, $x_r(\theta) = \left(1 + \sqrt{1 - 4\theta}\right) / 2$, and $I(\theta) = [-x_r(\theta), x_l(\theta)]$ whenever these quantities are real (i.e., when $\theta \leq 1/4$). Clearly, $x = 0$ is the only critical point of p_θ .

1. If $\theta > 1/4$, then $\lim_{n \rightarrow \infty} p_\theta^n(x_0) = \infty$ for all $x_0 \in \mathbb{R}$.
2. If $\theta < 1/4$, then p_θ has two fixed points given by $x_1 = x_l(\theta)$ and $x_2 = x_r(\theta)$.
3. If $-2 \leq \theta \leq 1/4$, then the interval $I = I(\theta)$ is invariant under p_θ ; that is, $p_\theta(I(\theta)) \subset I(\theta)$.
4. If $\theta < -2$, then every periodic orbit of p_θ is repelling; p_θ has periodic orbits of every primitive order, but none of them contains the critical point.
5. If $\theta < -2$, then p_θ has an invariant Cantor set $\Lambda_\theta \subset I(\theta)$ such that the restriction $p_\theta|_{\Lambda_\theta}$ is topologically conjugate to a one-sided shift on two symbols. Furthermore, the set Λ_θ is hyperbolic. For any point $x_0 \in \mathbb{R} \setminus I(\theta)$, we have $\lim_{n \rightarrow \infty} p_\theta^n(x_0) = \infty$.
6. The mapping $p: x \mapsto x^2 + \theta$ is topologically conjugate to the mapping $f: x \mapsto \alpha x^2 + \beta x + \gamma$ via the homeomorphism $h: x \mapsto ax + b$, $\alpha \neq 0$, with $a = \alpha$, $b = \beta/2$, and $\theta = \alpha\gamma + (2\beta - \beta^2)/4$, where $p = h \circ f \circ h^{-1}$.

■ Real Spiders and the Spider Map

Consider the n -periodic orbit containing the critical point $x = 0$ under the map p_θ for a suitable choice of θ :

$$x_0 = 0 \mapsto x_1 \mapsto \cdots \mapsto x_{n-1} \mapsto x_n = 0.$$

Since $x_{i+1} = p_\theta(x_i)$, we have $x_i \in p_\theta^{-1}(x_{i+1})$, and the correct point to choose in the fiber $p_\theta^{-1}(x_{i+1})$ is given by the kneading symbol at that location in the periodic orbit. In our case, the fiber $p_\theta^{-1}(y)$ is empty if $y < \theta$, contains exactly one point if $y = \theta$, and contains two points if $y > \theta$. The coding, $S_\theta(x_0) = \{s_i\}_{i \geq 1}$, of an orbit under p_θ is done according to the rule

$$s_i = \begin{cases} L & \text{if } p_\theta^i(x_0) < 0 \\ C & \text{if } p_\theta^i(x_0) = 0 \\ R & \text{if } p_\theta^i(x_0) > 0 \end{cases}$$

The kneading sequence of p_θ is the symbolic orbit of the critical point, $K(\theta) = S_\theta(0)$. In our setting the kneading sequence is periodic. We use the notation $K(\theta) = \overline{LR \cdots C}$ to denote that the finite symbol sequence under the bar is repeated an infinite number of times. It is easily seen that not all symbol sequences are compatible with the underlying dynamical system. In fact, it can be shown that there is at most one order of points that is compatible with a kneading sequence.

A real spider is a very special case of the spiders defined on the Riemann sphere for complex systems. On the Riemann sphere, a spider is an equivalence class of curve systems connected in ∞ , the “body” of the spider, and the curves going out from this point may be thought of as the “legs”. The legs are used to impose an ordering of the points in \mathbb{C} . However, in \mathbb{R} there is a natural ordering, so the space of real spiders associated with the dynamical system p_θ takes the form of n -tuples of real numbers subject to a set of inequalities $x_1 < x_{j_1} < \cdots < x_{j_{n-2}} < x_2$, where $x_n = 0$.

□ The Spider Space

Let $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ and $\mathbf{j} = (j_1, j_2, \dots, j_n)$ be an index vector, where $j_i \in \{1, \dots, n\}$ with $j_i \neq j_k$ if $i \neq k$. Let $S_{j,k} \subset \mathbb{R}^n$ be the subset $S_{j,k} = \{\mathbf{x} \in \mathbb{R}^n : x_{j_1} < x_{j_2} < \cdots < x_{j_n} \text{ and } x_{j_k} = 0\}$. The space $S_{j,k}$ equipped with the natural inherited topology from \mathbb{R}^n is called the *real spider space* associated with (\mathbf{j}, k) . A mapping $\sigma : S_{j,k} \rightarrow S_{j,k}$ is called a *spider mapping*. We will later index the space $S_{j,k}$ by a periodic admissible kneading sequence, writing $S_{j,k} = S_K$.

Example 1. Consider the real spider space $S_{(1,3,2),2} = \{(x_1, x_2, x_3) \in \mathbb{R}^3 : x_1 < x_3 < x_2 \text{ with } x_3 = 0\}$ and let $\sigma(x_1, x_2, x_3) = (-\sqrt{x_2 - x_1}, \sqrt{-x_1}, 0)$. The map σ is clearly well defined on $S_{(1,3,2),2}$ as $x_2 > x_1$; the first component in the image is negative and the second component is posi-

tive. Suppose σ has a fixed point $\sigma(x) = x$. Then $x_1 = -\sqrt{x_2 - x_1}$, $x_2 = \sqrt{-x_1}$, and $x_3 = 0$. We find $x_1^2 = x_2 - x_1$, $x_2^2 = -x_1$, and $x_3 = 0$. By rearranging these equations, we have $x_1 = x_1$, $x_2 = x_1^2 + x_1$, and $x_3 = 0$. This corresponds exactly to the orbit $0 \rightarrow \theta \rightarrow \theta^2 + \theta \rightarrow 0$, and hence any such fixed point corresponds to a superstable period-3 orbit under $p_\theta(x) = x^2 + \theta$.

Example 2. Consider the real spider space $S_{(1,4,5,3,2),3} = \{(x_1, x_2, x_3, x_4, x_5) \in \mathbb{R}^5 : x_1 < x_4 < x_5 < x_3 < x_2 \text{ with } x_5 = 0\}$ and let σ be the map defined by

$$\sigma(x_1, x_2, x_3, x_4, x_5) = \left(-\sqrt{x_2 - x_1}, \sqrt{x_3 - x_1}, \sqrt{x_4 - x_1}, -\sqrt{-x_1}, 0 \right) = (y_1, y_2, y_3, y_4, y_5).$$

We check that this map is well defined; that is, we show that $x \in S_{(1,4,5,3,2),3} \Rightarrow \sigma(x) \in S_{(1,4,5,3,2),3}$. First, $x_2 > 0$ and $x_1 < 0$ means $x_2 - x_1 > -x_1 > 0$ and $y_1 = -\sqrt{x_2 - x_1} < -\sqrt{-x_1} = y_4 < 0 = y_5$. Next, because $x_3 > x_4$ and $x_4 > x_1$, we have that $x_3 - x_1 > x_4 - x_1 > 0$; hence, $y_2 = \sqrt{x_3 - x_1} > \sqrt{x_4 - x_1} = y_3 > 0$. Therefore the map is well defined. Assume as in Example 1 that σ has a fixed point x , that is, $\sigma(x) = x$. The fixed point equation gives us that $x_1^2 = x_2 - x_1$, $x_2^2 = x_3 - x_1$, $x_3^2 = x_4 - x_1$, $x_4^2 = -x_1$, and $x_5 = 0$. Substituting, we rewrite these equations as $x_1 = 0 + x_1$, $x_2 = x_1^2 + x_1$, $x_3 = x_2^2 + x_1 = (x_1^2 + x_1)^2 + x_1$, $x_4 = x_3^2 + x_1 = ((x_1^2 + x_1)^2 + x_1)^2 + x_1$, and $x_5 = 0$. This is exactly a critical period-5 orbit for our polynomial family p_θ , where

$$0 \rightarrow \theta \rightarrow \theta^2 + \theta \rightarrow (\theta^2 + \theta)^2 + \theta \rightarrow ((\theta^2 + \theta)^2 + \theta)^2 + \theta \rightarrow 0.$$

Hence, a fixed point for this spider map corresponds to a superstable period-5 orbit with kneading sequence \overline{LRRLC} .

Example 3. In Examples 1 and 2 we used spider maps with fixed points that correspond to periodic critical orbits obeying certain combinatorial properties. In the two first cases we used kneading sequences that are compatible with the dynamics of the system $x \mapsto x^2 + \theta$. We now choose a map that corresponds to an incompatible kneading sequence (later to be called an inadmissible kneading sequence). Consider the sequence \overline{LRRLC} . This gives us the real spider space $S_{(1,4,5,3,2),3} = \{(x_1, x_2, x_3, x_4, x_5) \in \mathbb{R}^5 : x_1 < x_3 < x_5 < x_4 < x_2 \text{ with } x_5 = 0\}$ and suggests that we define the spider map as

$$\sigma(x_1, x_2, x_3, x_4, x_5) = \left(-\sqrt{x_2 - x_1}, \sqrt{x_3 - x_1}, -\sqrt{x_4 - x_1}, \sqrt{-x_1}, 0 \right) = (y_1, y_2, y_3, y_4, y_5).$$

We show that $\sigma: S_{(1,4,5,3,2),3} \rightarrow S_{(1,4,5,3,2),3}$ is not well defined. Note that, since $x_1 < 0$ and $x_2 > x_4 > 0$, we have $x_2 - x_1 > x_4 - x_1 > 0$ so $y_1 = -\sqrt{x_2 - x_1} < -\sqrt{x_4 - x_1} = y_3 < 0$. Hence, $y_1 < y_3 < 0 = y_5$. However,

$x_3 < 0$ so $x_3 - x_1 < 0 - x_1$, that is, $y_2 = \sqrt{x_3 - x_1} < \sqrt{-x_1} = y_4$, implying that $S_{(1,4,5,3,2),3}$ is not closed under σ . As a consequence, some of the roots of the component functions may become complex after a few iterations of σ . Indeed, this is exactly what happens as we show later on.

■ Finding Admissible Kneading Sequences Using the Minimality of the Kneading Sequence with Respect to the Lexicographic Order

We apply the theory developed in [1] to obtain an algorithm that decides if a kneading sequence is admissible. This algorithm is based on the fact that the kneading sequence is minimal with respect to the lexicographic order denoted by \leq as defined in the next subsection. In particular, if σ is the shift map on the symbol space, then $K \leq \sigma^i(K)$, $i = 1, \dots, n-1$, for any admissible kneading sequence K of length n .

□ The Lexicographic Order

Let $\mathbb{A} = \{L, C, R\}$ be a three-letter alphabet with the ordering $L < C < R$, and let $\Sigma = \mathbb{A}^{\mathbb{N}}$ be the set of infinite words from \mathbb{A} subject to the restriction: suppose W_a and W_b are two words in Σ containing the letter C , say $W_a = W_1 C W_2$ and $W_b = W_3 C W_4$, where W_1 and W_3 do not contain the letter C ; then $W_2 = W_4$.

Let $S \in \Sigma$, where we write $S = \{s_i\}_{i \geq 0}$. Assume that $s_k \neq C$ for $0 \leq k \leq n$. We define $\tau_n(S) = \sum_{i=0}^n v(s_i) \bmod 2$, where $v(s_i) = 1$ if $s_i = L$ and $v(s_i) = 0$ if $s_i = R$. In other words, as S is the sequence of addresses coming from the dynamical orbit $\{p_\theta^k(x)\}_{k \geq 0}$, the quantity τ_n determines the orientation properties for p_θ^n at the point x . Note that p_θ is decreasing (orientation reversing) for $x < 0$ (corresponding to the symbol L) and increasing (orientation preserving) for $x > 0$ (corresponding to the symbol R).

We can now define a *signed lexicographic ordering*, denoted by $<$ (less) and \leq (less or equal), for two elements $S, T \in \Sigma$. Assume that $s_i = t_i$ for $0 \leq i \leq n-1$, then $S < T$ if either $\tau_{n-1}(S) = 0$ and $s_n < t_n$, or $\tau_{n-1}(S) = 1$ and $s_n > t_n$. We write $S \leq T$ if $S < T$ or $S = T$.

The following lemma is used to construct the general algorithm for finding admissible kneading sequences.

Lemma 1. *Let $K(f)$ be a kneading sequence of a unimodal map $f: I \rightarrow I$, let $A(x)$ be the itinerary of a point $x \in I$, and let σ denote the shift map on the symbol space. Then $K(f) \leq \sigma^i(A(x))$ for all $x \in I$ and $i \geq 0$. In particular, $K(f) \leq \sigma^i(K(f))$.*

Proof. See [3] or [1]. □

We may use the special case $A(x) = K(f)$ of Lemma 1 to decide if a given candidate S for a periodic kneading sequence of length n is admissible. We simply need to test if $S \leq \sigma^i(S)$ for $1 \leq i \leq n-1$.

Mathematica Programs

We first generate possible candidates for admissible periodic kneading sequences and then reduce the number of candidates by excluding some sequences that cannot be candidates. Clearly all sequences must start with LR if $n \geq 3$, and it is easy to prove that sequences of the form \overline{LRLWRC} and $\overline{LRLRW\overline{C}}$, where W is a word from $\{L, R\}$ including the empty word, cannot be admissible for $n \geq 5$. Here are the programs that generate the candidates.

```

In[1]:= nLs[n_] := StringJoin[Table["L", {n}]];
nRs[n_] := StringJoin[Table["R", {n}]];
BaseString[{n_, m_}] := nLs[n] <> nRs[m];
Generators[n_] := Map[BaseString, Table[{n - i, i}, {i, 0, n}]];
KneadSeq[1] := {"C"}; KneadSeq[2] := {"LC"}; KneadSeq[3] := {"LRC"};
KneadSeq[n_Integer] := Map["LR" <> # <> "C" &, Map[StringJoin, Flatten[
    Map[Permutations, Map[Characters, Generators[n - 3]]], 1]]];
ExclusionRuleOne[n_Integer] := Map["LRL" <> # <> "RC" &,
    Map[StringJoin, Flatten[
        Map[Permutations, Map[Characters, Generators[n - 5]]], 1]]];
ExclusionRuleTwo[n_Integer] := Map["LRLR" <> # <> "C" &,
    Map[StringJoin, Flatten[
        Map[Permutations, Map[Characters, Generators[n - 5]]], 1]]];
FilteredKneadSeq[n_Integer] := If[n < 5, KneadSeq[n],
    Complement[KneadSeq[n],
        Union[Flatten[{ExclusionRuleOne[n], ExclusionRuleTwo[n]}]]];

```

These programs find the signed lexicographic order for the candidate strings.

```

In[10]:= LexOrder[x_, y_] := Module[{alphabet = {"L", "C", "R"}, px, py},
    px = First[Flatten[Position[alphabet, x]]];
    py = First[Flatten[Position[alphabet, y]]];
    Return[If[px == py, 0, If[px < py, -1, 1]]];
SymbolValue[x_] := If[x == "L", 1, 0];
LxOrd[s_List, t_List, n_Integer] :=
    Module[{l = Length[s], i = 1, res = 0},
        If[s == t, Switch[n, -1, Return[0], 0,
            Return[False], 1, Return[True]]];
        While[(s[[i]] == t[[i]]) && (i < l), (res += SymbolValue[s[[i]]]; i++)];
        If[EvenQ[res] && (LexOrder[s[[i]], t[[i]] == -1),
            Switch[n, -1, Return[-1], 0, Return[True], 1, Return[True]]];
        If[OddQ[res] && (LexOrder[s[[i]], t[[i]] == 1),
            Switch[n, -1, Return[-1], 0, Return[True], 1, Return[True]]];
        Switch[n, -1, Return[1], 0, Return[False], 1, Return[False]];
    LexicographicOrder[s_List, t_List] := LxOrd[s, t, -1];
    LGOrderLess[s_List, t_List] := LxOrd[s, t, 0];
    LGOrderLessOrEqual[s_List, t_List] := LxOrd[s, t, 1];
    s_ < t_ := LGOrderLess[Characters[s], Characters[t]];
    s_ <= t_ := LGOrderLessOrEqual[Characters[s], Characters[t]];

```

These programs test the candidates and return the ones that are admissible sequences.

```
In[18]:= MinimalSeqQ[s_] :=
  If[Max[Map[LexicographicOrder[Characters[s], #] &, Map[
    Characters, Table[StringJoin[RotateLeft[Characters[s], i]],
      {i, 1, Length[Characters[s] - 1}]]]]] ≤ 0, True, False];
GenerateAdmissibleKneadingSequences[n_Integer] :=
  Module[{lg1, gl, al = {}},
    If[n ≤ 6, Return[FilteredKneadSeq[n]];
    lg1 = Length[pl = FilteredKneadSeq[n]];
    Do[If[MinimalSeqQ[pl[[i]]], al = {al, pl[[i]]}], {i, 1, lg1}];
    Return[Flatten[al]]];
```

Here is what we wanted to obtain. Due to the large number of elements in the output, we only count the number of words in each list.

```
In[20]:= {Length[FilteredKneadSeq[14]],
  Length[GenerateAdmissibleKneadingSequences[14]]}

Out[20]= {1280, 585}
```

■ The Ordering of Points in an Admissible Periodic Kneading Sequence (Or, Forming the Real Spiders)

Let $K(f) = \overline{LRWC}$ be an admissible kneading sequence with $|K(f)| = n$ and W a word from the alphabet $\{L, R\}$ with $|W| = n - 3$. Let the corresponding dynamical orbit be $\{x_1, x_2, \dots, x_n\}$, where $x_n = 0$ in our case. The problem is to order the points in the orbit on the real line $x_{j(1)} < x_{j(2)} < \dots < x_{j(n)}$, in other words, to find a bijective function $j: \{1, \dots, n\} \rightarrow \{1, \dots, n\}$ based on the kneading information. Let $\#(K(f), L) = n_L$ and $\#(K(f), R) = n_R$ denote the number of L and R in the word LRW , respectively. Clearly $n = n_L + n_R + 1$, and $n_L, n_R \geq 1$ if $n \geq 3$. We have some trivial information about the function j . Clearly $j(1) = 1$, $j(2) = n_L$, and $j(1 + n_L) = j(n - n_R) = n$. We compute the image of j using the same trick used for computing the admissible kneading sequences, that is, we sort points according to their lexicographic order. The following lemma from [1] relates the order of points in the dynamic space with the order of addresses in the symbol space.

Lemma 2. *Let $f: I \rightarrow I$ be a unimodal map where the critical point is a minimum, let $x, y \in I$ with $x < y$, and let $A(x)$ and $A(y)$ denote their itineraries. Then $A(x) \leq A(y)$ with respect to the signed lexicographical order.*

Proof. See [1], Section 3. \square

We apply Lemma 2 to find the ordering of points in the dynamical space of an admissible kneading sequence given by $K(f) = \overline{LRWC}$, where W is a word from the alphabet $\{L, R\}$ as follows. The symbols in the periodic word \overline{LRWC} are

assigned to symbolic points x_1, x_2, \dots, x_n in the dynamic space, that is, to indices $1, 2, \dots, n$, and these are split into three groups according to their symbol in the kneading sequence. For example, the sequence \overline{LRLRLC} is mapped to $\{\{1, 3, 4, 6\}, \{7\}, \{2, 5\}\}$. The problem is then reduced to sorting the first and third groups according to their relative positions in the dynamic space. Now we just compare two versions of the symbol sequence \overline{LRWC} by rotating left the correct number of times according to the symbol position in the string, so this symbol becomes the first symbol, given by the indices we have already found. We then apply Lemma 2 to determine their relative positions in the dynamic space.

Mathematica Programs

The following program gives a version of the map j operating on words that correspond to dynamical orbits.

```
In[21]:= SplitLCR[s_] := Map[Flatten,
  {Position[Characters[s], "L"], Position[Characters[s], "C"],
   Reverse[Position[Characters[s], "R"]]}];
MySortFunction[s_, u_Integer, w_Integer] :=
  If[LexicographicOrder[RotateLeft[Characters[s], u - 1],
    RotateLeft[Characters[s], w - 1]] ≤ 0, True, False];
JSortMap::"nonsequence" = "Inadmissible sequence: '1'.";
JSortMap[s_] := Module[{l1, lc, rl, nlst},
  If[! MinimalSeqQ[s], Message[JSortMap::"nonsequence", s];
   Return[Range[Length[Characters[s]]]];
  nlst = SplitLCR[s];
  lc = nlst[[2]];
  If[Length[nlst[[1]]] ≥ 2,
    l1 = Sort[nlst[[1]], MySortFunction[s, #1, #2] &], l1 = nlst[[1]];
  If[Length[nlst[[3]]] ≥ 2, lr = Sort[nlst[[3]],
    MySortFunction[s, #1, #2] &], lr = nlst[[3]];
  Return[Flatten[{l1, lc, lr}]]];
```

Here is an example using the admissible sequence \overline{LRLRLC} .

```
In[25]:= JSortMap["LRLRLC"]
Out[25]= {1, 4, 6, 3, 7, 5, 2}
```

We use the function JSortMap to produce a function for generating a suitable element of the spider space associated with a given admissible kneading sequence \overline{K} . The element is then used as an initial point for the spider algorithm given in the next section to generate the dynamical orbit for the system p_θ . We choose this spider because it has equally spaced points in each of the intervals $[-2, 0)$ and $(0, 2]$ according to the numbers of L and R in the word K . The programming here is straightforward; we only need to find an “inverse” to the map described by JSortMap.

```
In[26]:= LRCount[s_] :=
  Map[Length, Map[Flatten, {Position[Characters[s], "L"],
```

```

      Position[Characters[s], "R"]]]];
LRCList[s_] := Module[{n, l, r},
  n = LRCount[s];
  l = Table[-2 + 2 i/n[[1]], {i, 0, n[[1]] - 1}];
  r = Table[2 i/n[[2]], {i, 1, n[[2]]}];
  Return[Flatten[{l, 0, r}]];
InitSpider[s_] := Module[{ss, spider, index},
  ss = LRCList[s];
  spider = Range[Length[ss]];
  index = Map[Reverse,
    Sort[Table[{JSortMap[s][[i]], i}, {i, 1, Length[ss]}]]];
  Do[spider[[index[[i, 2]]]] = ss[[index[[i, 1]]]], {i, 1, Length[ss]}];
  Return[spider];

```

Here is an example with the admissible sequence \overline{LRLRLC} .

```
In[29]:= InitSpider["LRLRLC"]
```

```
Out[29]= {-2, 2, -1/2, -3/2, 1, -1, 0}
```

■ *Mathematica* Implementation of a Spider Map

The simple Examples 1, 2, and 3 suggest how we should define the spider map associated with a periodic kneading sequence. Consider the periodic dynamical sequence

$$0 \rightarrow x_1 \rightarrow x_2 \rightarrow \cdots \rightarrow x_{n-1} \rightarrow 0,$$

where we have $x_{i+1} = x_i^2 + x_1$ for $0 \leq i < n - 1$ with $x_n = x_0 = 0$. Hence, we have $x_i^2 = x_{i+1} - x_1$, so $x_i = s_i \sqrt{x_{i+1} - x_1}$, where $s_i \in \{-1, 0, 1\}$ if the corresponding kneading symbol is L , C , or R .

Implementing a real spider map that chooses correct roots according to a given kneading sequence is easily done in *Mathematica*. Here, we do not perform any error or sanity checks, so our map simply takes the form

```

In[30]:= RealSpiderMap[k_List][l_List] :=
  Table[SpiderRoot[l[[i]], k[[i]]][l[[Mod[i, Length[l]] + 1]]],
    {i, 1, Length[l]}]

```

where `SpiderRoot` returns the correct root according to the symbol in the kneading sequence. In our case, this map can be defined by

```

In[31]:= SpiderRoot[θ_, sym_] [x_] := If[sym == "C", 0.0,
  If[sym == "L", N[-√(x - θ)], If[sym == "R", N[√(x - θ)]]]]

```

■ The Spider Algorithm

We now briefly describe the spider algorithm for the system $x \mapsto x^2 + \theta$.

We have seen in Examples 1 and 2 that a critical periodic orbit is a fixed point for the spider map constructed by choosing the correct roots according to the combinatorics of the dynamical orbit. Example 1 shows that this fixed point, in the special case of a period-3 orbit, is stable. We might hope that this is true in general for any critical periodic orbit, and hence suggest the following algorithm.

Problem: Find a parameter value $\theta_K \in [-2, 0]$ such that the real dynamical system $x \mapsto x^2 + \theta_K$ has a periodic kneading sequence K .

The Real Spider Algorithm:

A) Choose a finite string K of length n of symbols, where the first two symbols are L and R , the next $n-3$ symbols are chosen from the two-letter alphabet $\{L, R\}$, and the last symbol is C .

B) Form the map $\sigma: \mathbb{R}^n \rightarrow \mathbb{R}^n$, where the k^{th} component function is $y_k = s_k \sqrt{x_{k+1} - x_1}$ and $s_k = -1, 1, 0$ if the k^{th} symbol in the string is L, R , or C , respectively.

C) Choose a vector $\mathbf{x}_0 = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$, where the points are ordered according to the dynamics of the periodic orbit for the dynamical system $x \mapsto x^2 + \theta$.

D) Form the sequence $\mathbf{x}_{i+1} = \sigma(\mathbf{x}_i)$ and stop the iteration process when the sequence of vectors converges to some point $\mathbf{y} \in \mathbb{R}^n$ (or in \mathbb{C}^n).

E) The parameter θ_K with the desired critical orbit is given by $\theta_K = y_1$.

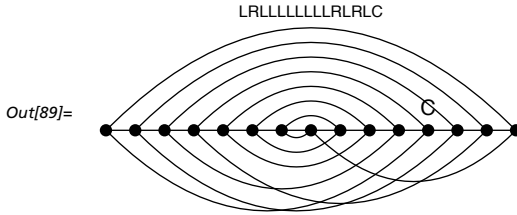
In *Mathematica* this is implemented by the following iteration process.

```
First[
  FixedPoint[
    RealSpiderMap[Characters[kneading_sequence]], spider]
]
```

Here `kneading_sequence` is a string of symbols and `spider` is an ordered list of real numbers. If the kneading sequence is not compatible with the dynamics, then the returned number is nonreal, that is, a number in $\mathbb{C} \setminus \mathbb{R}$.

We define three functions associated with the numerical computation of the spider algorithm: `SpiderIterationList[k,n]`, `SpiderFixedPoint[k,n]`, and `CriticalParameter[k,n]`. In all cases k is a kneading sequence and n is an optional integer passed to `FixedPoint` or `FixedPointList` to control the maximum number of iterations. This is necessary in some cases because there is a “bit-flip” on the least significant bit at the fixed point, causing a nonstopping condition in `FixedPoint`. Note that we check if k is an admissible periodic kneading sequence. The function `SpiderIterationList` returns a list of all steps in the iteration process taken to find the fixed point of the spider map. The function `SpiderFixedPoint` returns the fixed point (the orbit) associated with the kneading sequence k . The function `CriticalParameter` returns the first component of the fixed point, that is, the parameter θ for p_θ corresponding to the periodic kneading sequence.


```
In[89]:= ShowDiagramOrbit["LRLLLLLLLRLRLC"]
```



□ Admissible Sequences of Length 9

In this example we compute all admissible sequences of length 9 and the corresponding critical parameter. Note that this corresponds to finding certain real solutions of a polynomial of degree 2^8 . There are 28 admissible sequences of length 9. (The function `SortedAdmissibleSequences` is described in the next subsection.)

```
In[90]:= admseq = SortedAdmissibleSequences[9]
```

```
Out[90]:= {LRRRRRRRC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC,
  LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC,
  LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC,
  LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC,
  LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC, LRRRRRLC}
```

We use the function `CriticalParameter` to find the corresponding θ values for the dynamical system $x \mapsto p_\theta(x)$.

```
In[91]:= param = Map[CriticalParameter, admseq]
```

```
Out[91]:= {-1.99994, -1.99949, -1.99859, -1.99722, -1.99542,
  -1.99313, -1.99038, -1.987, -1.98381, -1.97946, -1.97478,
  -1.96942, -1.96402, -1.95733, -1.94957, -1.93224,
  -1.92229, -1.91144, -1.90312, -1.89078, -1.87838, -1.84129,
  -1.82276, -1.78587, -1.69014, -1.65613, -1.59568, -1.55528}
```

Note that these solutions are all the (real) zeros of the polynomial of degree 256 corresponding to periodic orbits through the zeros of the dynamical system. The polynomial can be computed with `Nest`.

```
In[92]:= pθ[x_] := x^2 + θ;
Short[Nest[pθ, 0, 9] // Expand, 12]
```

```
Out[93]//Short= θ + θ^2 + 2 θ^3 + 5 θ^4 + 14 θ^5 + 42 θ^6 + 132 θ^7 + 429 θ^8 + 1430 θ^9 + 4606 θ^10 +
  14364 θ^11 + 43810 θ^12 + <<232>> + 2514273632010848 θ^245 +
  232268682367776 θ^246 + 19378537561280 θ^247 + 1445348279984 θ^248 +
  95166629216 θ^249 + 5444445216 θ^250 + 265070400 θ^251 +
  10676064 θ^252 + 341440 θ^253 + 8128 θ^254 + 128 θ^255 + θ^256
```

The following table shows the periodic kneading sequence and the corresponding parameter θ .

```
In[94]:= TableForm[
  Table[{admseq[[i]], param[[i]] // InputForm}, {i, 1, Length[admseq]}],
  TableHeadings -> {None, {"String", "Parameter"}}]
```

```
Out[94]//TableForm=
```

String	Parameter
LRRRRRRRC	-1.999943521765674
LRRRRRRLC	-1.999491438016398
LRRRRRLLC	-1.9985865888422067
LRRRRRLRC	-1.9972230246965785
LRRRRLLRC	-1.995419032661308
LRRRRLLLC	-1.9931302548789758
LRRRLRLC	-1.990376381055951
LRRRLRRC	-1.987004347515047
LRRRLRRC	-1.983810249999715
LRRRLRLC	-1.9794575048559522
LRRRLLLC	-1.97478085890012
LRRRLLLRC	-1.9694191207308984
LRRRLRLRC	-1.9640243368201455
LRRRLRLLC	-1.9573250505356987
LRRRLRRLC	-1.949574903249391
LRRLLRRLC	-1.932243966576094
LRRLLRLLC	-1.9222857782462959
LRRLLRLRC	-1.9114446314734534
LRRLLLLRC	-1.9031167730155967
LRRLLLLLC	-1.890775424360235
LRRLLLLRLC	-1.8783826015000962
LRRRLRLRC	-1.841288561509693
LRRRLRLLC	-1.8227563224922927
LRRRLRLLRC	-1.7858656464106737
LRLRLLLC	-1.6901422631188634
LRLRLRLC	-1.6561325625742074
LRLLLLRLC	-1.5956809634397457
LRLLLLLLC	-1.5552827007685832

□ Sorted Lexicographical Ordering

We define a function `SortedAdmissibleSequences[n]` that returns the admissible periodic kneading sequences of length n in sorted lexicographical order. In addition, we have associated the symbols \prec and \leq with the lexicographical order.

```
In[95]:= SortedAdmissibleSequences[n_Integer] :=
  Sort[GenerateAdmissibleKneadingSequences[n],
    LQOrderLess[Characters[#1], Characters[#2]] &];
```

Here is an example with `SortedAdmissibleSequences` for sequences of length 15. There are 1091 different admissible sequences of this length. The function sorts these with respect to the lexicographical order. The notation $\langle\langle n \rangle\rangle$ means n strings are omitted in the output.

```
In[96]:= Short[SortedAdmissibleSequences[15], 6]
```

```
Out[96]//Short= {LRRRRRRRRRRRRRC, LRRRRRRRRRRRLC, LRRRRRRRRRRRLC,
  LRRRRRRRRRRRLC, LRRRRRRRRRRLLC, <<1082>>, LLLLLLLLLLRLLC,
  LLLLLLLLLLRLC, LLLLLLLLLLRLC, LLLLLLLLLLRLC}
```

We may use the symbols \prec (Precedes) and \preceq (PrecedesSlantEqual) to test the lexicographic order of two strings. These relations work on any nonempty string from the alphabet $\{L, C, R\}$. The strings do not need to be of equal length.

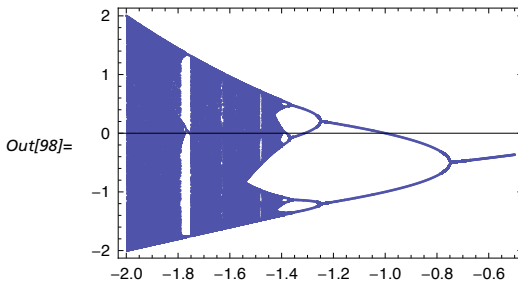
```
In[97]:= {"LRC" < "LRLC", "LRLLLLLLLLLLRLC" < "LRC", "CLLRC" < "LLR"}
```

```
Out[97]= {True, False, False}
```

□ The Sharkovsky Ordering of \mathbb{N}

The bifurcation diagram in the following figure shows the attracting set of the critical orbit. We cannot see the repelling periodic orbits in this diagram. The Sharkovsky theorem states the relationship between coexisting periodic orbits without considering stability properties.

```
In[98]:= ListPlot[LogisticBifurcationDiagramData[-2, -0.5, 0.004,
  0, 500, 400], Frame -> True, PlotStyle -> PointSize[0.001]]
```



The natural numbers \mathbb{N} are ordered as follows by \triangleright . With $k, n \in \mathbb{N}$, the Sharkovsky ordering is given by

$$3 \triangleright 5 \triangleright 7 \triangleright 9 \triangleright \dots \triangleright 2n+1 \triangleright \dots \triangleright 2 \cdot 3 \triangleright 2 \cdot 5 \triangleright \dots \triangleright 2 \cdot (2n+1) \triangleright \\ \dots \triangleright 2^k \cdot (2n+1) \triangleright \dots \triangleright 2^k \triangleright 2^{k-1} \triangleright \dots \triangleright 8 \triangleright 4 \triangleright 2 \triangleright 1.$$

The Sharkovsky Theorem

Let $f: I \rightarrow I$ be a continuous map of some interval $I \subset \mathbb{R}$. If f has a periodic orbit of primitive period n , then f has periodic orbits of primitive period m for all m with $n \triangleright m$ in the Sharkovsky ordering. In particular, if f has a periodic orbit of primitive period three, then f has periodic orbits of all periods.

See [5], [6], or [8] for a proof. Because [5] was written in Russian the result was unknown for a long time in the West. A proof of a special case of the Sharkovsky

theorem, the theorem named “period-3 implies chaos”, was given in [7] because the authors were unaware of the result in [5]. However, the proof of this special case is much easier than the general proof of the Sharkovsky theorem.

Consider the dynamical system $x \mapsto x^2 + \theta$. The Sharkovsky ordering of \mathbb{N} has the odd numbers (1 excluded) as its greatest numbers in reverse order, $3 \triangleright 5 \triangleright 7 \triangleright 9 \triangleright \dots \triangleright (2n+1) \triangleright \dots$, $n \geq 1$. The Sharkovsky theorem implies that the first period- $(2n+3)$ orbit must come into existence before or at the same time (with respect to the parameter) as a period- $(2n+1)$ orbit when the parameter is varied from $\theta = -1$ to $\theta = -2$. As shown in our previous examples, there is more than one admissible period- m kneading sequence if $m > 3$. Let θ_m denote the last occurrence (with respect to the usual order in \mathbb{R}) of a superstable period- m orbit, m an odd number, for $\theta \in [-2, -1]$. We will find the sequence

$$\theta_3 \leq \theta_5 \leq \theta_7 \leq \theta_9 \leq \theta_{11} \leq \dots$$

using kneading sequences and the lexicographical order. Note that this sequence does not give the bifurcation points in the parameter space, but they are quite good approximations, as the width of the windows containing the attracting periodic windows becomes very narrow on the left side of the bifurcation diagram shown earlier.

Let K be an admissible sequence of length $2n+1$, with $n > 2$. It is easily shown that the maximal strings of this length are of the form $LRL\dots LC$. This means that these critical periodic orbits are the first to appear when moving in the parameter space from the right to the left (see the bifurcation diagram). This fact saves a lot of computation as we do not have to use the function `SortedAdmissibleSequences`. We may simply generate each sequence of length $2n+1$ that is needed.

Equipped with the fact that the maximal odd kneading sequences are of the form $K = \overline{LRL\dots LC}$, we generate these for a consecutive sequence of odd numbers and compute the corresponding critical parameter using the spider algorithm.

```
In[99]:= UpperString[n_Integer] :=
  "LR" <> StringJoin[Table["L", {n - 3}]] <> "C";
kns = Table[UpperString[2 n + 1], {n, 1, 20}];
ind = Map[Length, Map[Characters, kns]];
sym = Table[ $\theta_{ind[[i]]}$ , {i, 1, Length[ind]}];
val = Map[CriticalParameter[#, 600] &, kns];

In[104]:= TableForm[Transpose[{sym, Map[InputForm, val]}],
  TableHeadings -> {None, {"Parameter", "Value"}}]
```

Out[104]//TableForm=

Parameter	Value
θ_3	-1.7548776662466932
θ_5	-1.6254137251233038
θ_7	-1.5748891397523008
θ_9	-1.5552827007685832
θ_{11}	-1.547903761803955
θ_{13}	-1.5452017816926567
θ_{15}	-1.5442285601195278

θ_{17}	-1.5438809005277097
θ_{19}	-1.5437571734462723
θ_{21}	-1.5437132119079386
θ_{23}	-1.5436976024122815
θ_{25}	-1.5436920614376182
θ_{27}	-1.5436900947470673
θ_{29}	-1.543689396728154
θ_{31}	-1.543689148991056
θ_{33}	-1.543689061066118
θ_{35}	-1.543689029860556
θ_{37}	-1.543689018785357
θ_{39}	-1.5436890148546478
θ_{41}	-1.5436890134595964

Note that these calculations correspond to finding particular nontrivial real solutions of polynomials of degrees in the range $\{2^2, 2^4, 2^6, \dots, 2^{40}\}$.

□ Inadmissible Sequences

We now consider what happens if the spider algorithm is applied to an inadmissible sequence. The sequence \overline{LRLRC} of length 5 is not admissible.

```
In[105]:= AdmissibleQ["LRLRC"]
```

```
Out[105]:= False
```

In the next computation we apply the spider algorithm with this configuration and we easily see that we should use an initial spider of the form $\{-2, 2, -1, 1, 0\}$.

```
In[106]:= FixedPoint[RealSpiderMap[Characters["LRLRC"]], {-2, 2, -1, 1, 0}]
```

```
Out[106]:= {-1.25637 - 0.380321 i, 0.177448 + 0.575325 i,
            -1.55588 - 0.17614 i, 1.13337 + 0.167784 i, 0.}
```

We obtain an orbit in \mathbb{C} . Even if we use a different initial spider, we obtain the same orbit.

```
In[107]:= FixedPoint[RealSpiderMap[Characters["LRLRC"]],
                    RandomReal[{-2, 2}, 5], 500]
```

```
Out[107]:= {-1.25637 + 0.380321 i, 0.177448 - 0.575325 i,
            -1.55588 + 0.17614 i, 1.13337 - 0.167784 i, 0.}
```

This orbit is a critical orbit for the system $z \mapsto p_\theta(z)$ viewed as a complex dynamical system.

■ Conclusion

The main issue in this work was not the implementation of the spider algorithm, which was trivial, but rather the implementation of algorithms that decide if a given string of symbols is compatible with the dynamics of $x \mapsto x^2 + \theta$. We used symbolic techniques to show how a dynamical orbit is ordered and how to use symbolic dynamics to obtain numerical results. *Mathematica* provides excellent tools for this purpose.

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